

CORRIGENDUM No.1 Dt.08.09.2021

for

Sub: Expression of Interest (EOI) Tender for Empanelment of reputed Indian Chartered Accountant Audit Firms (Partnership/ Limited Liability Partnership) as Statutory Auditor for M/s. Talabira (Odisha) Mining Private Ltd (TOMPL)- Mine Development Operator (MDO) of Talabira II& III Coal Block, Odisha of NLC India Limited-reg.

Ref: Tender No.CO CONTS/0022M/STATUTORYAUDIT/TALABIRA/2021, Dt.25.08.2021

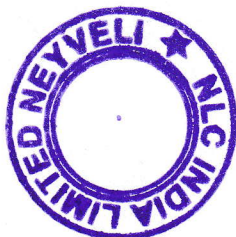
1.0 The following clause of the Tender documents (EOI) under reference is modified as below:

Sl. No.	Tender Reference	Existing	Modified as
1.	Tender Documents (EOI) Section-B AUDIT FEES Clause-6.0 (h) Page No.12	h) The Price quoted by the bidder for the first year in price table, shall not be considered for evaluation and selection of the firm. Further, price quoted by the firm will be considered as estimate and final professional fees for the 1 st year and fee for further years (next 4 years) will be decided based on mutual discussion between selected audit firm from mutually agreed panel and TOMPL.	h) The Price quoted by the bidder for the first year in price table, shall not be considered for evaluation and selection of the firm. Further, price quoted by the firm will be considered as estimate and final professional fees for the 1 st year and fee for further years (next 4 years) will be decided based on mutual discussion between selected audit firm from mutually agreed panel and TOMPL. Firms may note that fees is not the criteria for selection and evaluation and is only for reference purpose. Firms may or may not quote the same if they desire so. Non furnishing of fees will not be considered as deviation.

2.0 All other terms & conditions of the above EOI tender shall remain unaltered.

Note:

Bidders are requested to visit NLCIL Website (www.nlcindia.com) or CPP Portal (www.eprocure.gov.in) or CCMS (econts.nlcindia.com) till the opening of Tender to know about latest clarification/ Corrigendum, if any issued by NLCIL.



G. Raniella 08/09/21
GENERAL MANAGER / CONTRACTS & IE

General Manager
Corporate Contracts Division
NLC India Limited, Neyveli.



NLC INDIA LIMITED
(Navratna - Government of India Enterprise)
BLOCK-1, NEYVELI - 607 801, TAMIL NADU, INDIA.

**OFFICE OF THE GENERAL MANAGER / CONTRACTS & IE
CORPORATE OFFICE**

**DOMESTIC COMPETITIVE BIDDING
(THROUGH e-TENDER)**

**NOTICE INVITING EXPRESSION OF INTEREST (EOI) FOR
EMPANELMENT OF PARTNERSHIP/ LIMITED LIABILITY PARTNERSHIP FIRMS
OF CHARTERED ACCOUNTANTS FOR
CONDUCTING STATUTORY AUDIT IN
M/S TALABIRA (ODISHA) MINING PRIVATE LTD (TOMPL) –
MINE DEVELOPMENT OPERATOR OF TALABIRA II & III COAL BLOCK, ODISHA
OF NLC INDIA LIMITED.**

Tender No:CO CONTS/0022M/STATUTORY AUDITOR/TALABIRA/2021 Dt.26.08.2021

Contact Details:

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SCHEDULE OF TENDER (SOT)

NLCIL TENDER No.	Tender No.CO CONTS/ 0022M/ STATUTORY AUDITOR/TALABIRA /2021, Dt. 26.08.2021
Name of Work	Empanelment of Partnership / Limited Liability Partnership firms of Chartered Accountants for conducting statutory Audit in M/s.Talabira (Odisha) Mining Private Ltd (TOMPL) - Mine Development Operator (MDO) of Talabira II & III Coal Block, Odisha of NLC India Limited.
MODE OF TENDER	DOMESTIC COMPETITIVE BIDDING – e-Tendering System in single cover (Online containing QR, Techno-Commercial Bid & Price Bid)
Participation Fee	Rs. 10,000/- (Non-refundable)
Date of Tender document available to firms in NLCIL e-contrs portal	28.08.2021
Date of Starting of e-Tender for submission of on-line Bid	07.09.2021 from 11.00 Hrs. (IST)
Last date for Remitting participation fee & Registration through on line	20.09.2021 upto 17.00 Hrs (IST)
Date of closing for submission of online EOI bid	22.09.2021 at 14.30 hrs. (IST)
Last Date & Time for submission of Physical cover	22.09.2021 at 14.30 hrs. (IST)
Date & Time of opening of Physical cover & Online Bid	22.09.2021 at 15.00 hrs. (IST)

SECTION – A
DOMESTIC COMPETITIVE BIDDING
Through e-tender

NOTICE INVITING EXPRESSION OF INTEREST (EOI)

for Empanelment of Statutory Auditor for M/s TOMPL, MDO Of Talabira II& III Coal Block, Odisha of NLC India Limited.

Online Expression of Interest (EOI) in English are invited by NLC India Limited, Neyveli for Empanelment of Indian Chartered Accountant Audit Firms (Partnership/ Limited Liability Partnership) as Statutory Auditor for M/s. Talabira (Odisha) Mining Private Ltd. (TOMPL) - Mine Development Operator (MDO) of Talabira II & III Coal Block, Odisha of NLC India Limited as detailed below:

Tender No.CO CONTS /0022M/STATUTORY AUDITOR/TALABIRA/2021, Dt.26.08.2021		
i	Name of work:	Empanelment of Partnership / Limited Liability Partnership firms of Chartered Accountants for conducting statutory Audit in M/s.Talabira (Odisha) Mining Private Ltd (TOMPL) - Mine Development Operator (MDO) of Talabira II & III Coal Block, Odisha of NLC India Limited.
ii	Participation Fee	Rs. 10,000/- (Non-refundable)
iii	Date of Tender document available to firm to in NLCIL e-contrs portal	28.08.2021
iv	Date of Starting of e-Tender for submission of on-line Bid	07.09.2021 from 11.00 Hrs. (IST)
v	Last date for Remitting participation fee & Registration through on line	20.09.2021 upto 17.00 Hrs (IST)
vi	Date of closing for submission of online bid	22.09.2021 at 14.30 hrs. (IST)
vii	Last Date & Time for submission of Physical cover	22.09.2021 at 14.30 hrs. (IST)
viii	Date & Time of opening of Physical cover & Online Bid	22.09.2021 at 15.00 hrs. (IST)

For further details such as Qualifying Requirements, Scope of work, Amendments/Errata/corrigendum/clarifications etc., please visit our website: www.nlcindia.in or Central Public Procurement Portal (CPPP) of Government of India website: www.eprocure.gov.in or contact:

The General Manager/Contracts & IE,
Corporate Office, NLC India Ltd.,
Block-1, Neyveli– 607 801, Tamil Nadu.

Phone: 04142 – 212308, 251620 Fax 04142 – 252026/252645/252646

E-Mail ID: gmcontrs_co@nlcindia.in, gmcontrs@gmail.com

GENERAL MANAGER/CONTRACTS & IE

SECTION-B
DOMESTIC COMPETITIVE BIDDING
Through e-tender

DETAILED NOTICE INVITING EXPRESSION OF INTEREST (EOI)
FOR
EMPANELMENT OF PARTNERSHIP/ LIMITED LIABILITY PARTNERSHIP FIRMS
OF CHARTERED ACCOUNTANTS FOR CONDUCTING STATUTORY AUDIT IN M/s.
TALABIRA (ODISHA) MINING PRIVATE LTD (TOMPL) - MINE DEVELOPMENT
OPERATOR OF TALABIRA II & III COAL BLOCK, ODISHA OF NLC INDIA
LIMITED.

Tender No: CO CONTS/0022M/STATUTORY AUDITOR/TALABIRA/2021,Dt. 26.08.2021

1.0 INTRODUCTION:

The NLC INDIA LIMITED (NLCIL), (formerly Neyveli Lignite Corporation Limited) is a Navratna PSU Government of India Enterprise functioning under the administrative control of the Ministry of Coal, registered under the Indian Companies Act 1956, engaged in mining of Lignite & Coal and Power generation. NLCIL has also ventured into Solar and Wind power generation.

1.1 ABOUT NLC INDIA LIMITED:

The NLC INDIA LIMITED (formerly Neyveli Lignite Corporation Limited) (NLCIL) is a Navratna, Government of India Enterprise registered under the Indian Companies Act 1956, engaged in mining of lignite and power generation in Neyveli, Tamil Nadu State and Barsingsar, Rajasthan State in India. NLCIL views its business as an opportunity to set up the mechanisms for sustainable socio-economic development of its operating regions and also for contributing to the Nation Building Efforts.

A pioneer among the public sector undertakings in energy sector, NLCIL operates:

- Three highly mechanized opencast Lignite Mines (28.5 Million Tonnes per Annum) at Neyveli and one Lignite Mine (2.1 Million Tonnes per Annum) at Barsingsar, Rajasthan with a total capacity of 30.6 MTPA.
- Four Lignite based Thermal Power Stations (3,390 Mega Watt) in Neyveli and one Lignite based Thermal Power Plant in Barsingsar, Rajasthan (250 Mega Watt) with a total capacity of 3,640 MW.
- On green energy front it operates a Solar Power Plant of 141.06 MW (130MW+10 MW+1.06 MW) capacity at Neyveli.
- Solar Power Plant of 500 MW commissioned in 2018-19 and 709 MW commissioned in 2019-20 in various districts of Tamil Nadu.
- 20 MW capacity Solar Power Project was commissioned May 2020 at Andaman & Nicobar Islands.
- Wind Power Plant of 51 MW at Kazhuneerkulam, Tirunelveli, Tamil Nadu.
- A coal based Thermal Power Station of 1000 MW - NTPL at Tuticorin, Tamil Nadu as a joint venture between NLC India Limited and TANGEDCO (89:11).

On Going Projects:

- Expansion of Mine-I 10.50 MTPA (Area Expansion) & Expansion of Mine-IA (From 3.0 MTPA to 7.0 MTPA) at Neyveli (Project put on hold)
- Barsingsar Thermal Power Project Expansion (250 MW), Barsingsar, Rajasthan (Project put on hold)
- Bithnok Thermal Power Project (250 MW), Bithnok, Rajasthan (Project put on hold)
- Pachwara South Coal Block (11 MTPA), Jharkhand, allotted by GOI as a linked mine to NUPPL – A JV between NLCIL & UPRVUNL (51:49)
- Talabira II & III Coal Block (20 MTPA), Odisha, allotted to NLCIL as linked mine to proposed Odisha Thermal Power Project and operating Thermal Power Plant of NTPL at Tuticorin.
- NLC India Limited has also formed a Joint Venture (JV) company with Uttar Pradesh Rajya Vidut Utpadan Nigam Limited (UPRVUNL) in the name of Neyveli Uttar Pradesh Power Limited (NUPPL) to set up a coal based supercritical power plant with a capacity of 1980 MW (3 x 660 MW) in the state of Uttar Pradesh at Ghatampur Tehsil, Kanpur Nagar.
- NLC India Limited also formed a Joint Venture Company with Coal India Ltd (CIL), in the name of 'Coal Lignite Urja Vikas Private Limited, (CLUVPL)', incorporated on 10.11.2020 to venture into various Solar and Thermal Power projects across India around 5000 MW.

For more details on the activities of NLCIL, please refer to www.nlcindia.in

2.0 EXPRESSION OF INTEREST:

NLC India Limited, a Government of India Enterprise, issuing a notice through Domestic Competitive Bidding (DCB) Mode for Empanelment of Partnership / Limited Liability Partnership firms of Chartered Accountants for conducting Statutory Audit in M/s.Talabira (Odisha) Mining Private Ltd (TOMPL) - Mine Development Operator (MDO) of Talabira II & III Coal Block, Odisha of NLC India Limited.

As per Coal Mining Agreement (CMA) between NLC India Limited and TOMPL, NLCIL and TOMPL shall prepare a mutually agreed panel of 5 (five) reputable firms of Chartered Accountants having their registered offices in India, for conducting statutory audit of TOMPL – MDO in compliance with the conditions set forth in Schedule -P of CMA.

Further, out of five firms of mutually agreed panel, one firm will be appointed as Statutory Auditor at the sole discretion of TOMPL.

- 2.1 The format of application along with annexures and selection criteria etc. which can be downloaded are available on the e-contents portal of NLCIL /website www.nlcindia.in / or Central Public Procurement Portal (CPPP) of Government of India web site: www.eprocure.gov.in.
(Please click the tab “Tender” on the home page “Domestic” on the next screen) of www.nlcindia.in

2.2. PARTICIPATION FEE:

The participation fee (non-refundable) for an amount of Indian Rupees 10,000/- (Rupees Ten Thousand Only) in favour of NLC India Limited, payable at Neyveli is to be remitted through RTGS / NEFT mode to NLCIL's Account No. 10895129204 Beneficiary Name: NLCIL, Estt. A/c in State Bank of India, Branch: Neyveli Main (IFS Code No. SBIN0000958). The Remittance details with UTR No. shall be uploaded while submitting the bid through online. The firm is to note that the Banks commission charges will be to the account of the firm and the net amount transferred to NLCIL's account shall be equal to participation fee. The firm will be permitted for Registration only after the receipt of participation fee stipulated in the EOI tender and Registration closes 2 (Two) days prior to the date of bid opening.

3.0 SCOPE OF WORK: **AUDIT SCOPE AND COVERAGE:**

The audit scope and coverage detailed in this section is the minimum requirement expected from the audit firms.

It is recommended that the internal controls to be reviewed in the first visit in the year for all the critical operational areas and suitable extension of coverage may be considered for areas identified to reflect internal control weaknesses.

3.1. SCOPE:

The Statutory Audit will be carried out in accordance with the Indian Accounting Standards and will include various tests and controls, as the auditors feel necessary under the circumstances. The major areas to be covered by statutory auditor are as under:

- a) Transactions are recorded as per accounting standards and are booked to proper accounting heads.
- b) Goods and services have been procured in compliance with law.
- c) Transactions are duly supported by proper supporting documents.
- d) Propriety of the transactions.
- e) Checking the maintenance of books of accounts and records.
- f) Checking the bank reconciliation statements.
- g) Checking of component wise, category-wise and account head wise expenditures.
- h) Checking of investments, short term deposit etc. made from time to time.
- i) Checking of various taxes/statutory compliance.
- j) Verification of fixed deposits records and checking of interest received.
- k) To check all statutory records registers including Minute Books and to see that accounting effects of all the decisions taken at the Meetings of Governing Council / Board of Directors /relevant Committees or Core Groups are given in the Books of Account.
- l) To give executive summary incorporating all points/matters; which are of very important nature.
- m) Any claims or documents provided by TOMPL to NLCIL in connection with or relating receipts, income, payments, costs, expenses, accounts or audit any other incidental matter there to need to be certified.

3.2. FINANCIAL BOOKS:

- a) Verification of Bank Book Journal, Vouching of Imprest Cash.
- b) Scrutiny of General Ledger, Staff Advance Registers, Commenting on loan outstanding entries, etc.
- c) Checking of bills raised to NLCIL relating mining with necessary supporting documents.
- d) Verification of Land acquisition related with documentary evidences
- e) Verification of cash, cheques on hand etc. as on 31st of March every year.
- f) Verification of all computer vouchers and sheets ensure correct coding and accounting head.
- g) Scrutiny of general ledger and Verification of Income and Expenditure Statement, and Balance sheet and other Schedules.
- h) Verification of Salary/ Consultancy payments, P.F, I.T., TDS, etc., and verification of submission of various return to the competent authority in time.
- i) Verification of all financial information completely, promptly and after the necessary authorization was recorded.

3.3. OTHERS:

Statutory Auditor needs to submit Unaudited financial results of each quarter within 30 days from closing of quarter and within 60 days in case of audited financial results for the year, in the manner and form prescribed by Securities and Exchange Board of India for publication of quarterly results by companies listed on stock exchange.

3.4. COMPREHENSIVE AUDIT:

The areas covered by comprehensive audit may cover comparison of the results shown in the current period financial statements with prior periods and if available, with budgets and forecasts, investment decisions, delegation of financial powers and management of information systems, organizational effectiveness, management of equipment, plant and machinery etc.

3.5. ACCOUNTING POLICY & STANDARD

- a) To review and help the management in implementing proper accounting procedures, internal check control and computerized systems.
- b) Review of Accounts.
- c) Check all recorded entries comply with accounting standard.

3.6. THE CHARTERED ACCOUNTANT FIRM SO APPOINTED WOULD BE REQUIRED TO GIVE:

- a) Audit Certificates and issue any other certificate as may be required by the company from time to time without any additional fee to be paid by the company.
- b) Statements in annual / audit report that describes the work of M/s.Talabira (Odisha) Mining Private Ltd (TOMPL), the responsibilities of the audit also include reporting on the adequacy of statements.

3.7. **LIAISON:**

The selected Auditor will liaise with the Executive Directorate for the periodical meetings to review the progress of the work and to ensure requirement be fully met.

The Chartered Accountant firm so appointed would be required to look into the compliance of previous audit objections raised (if any) and have to verify or comment on the supporting documents/ vouchers submitted at latter stage, to settle the audit qualifications in the Statutory Audit report of this assignment.

The CA Firm have also certify Utility Certificate as and when required by government authorities or any other agencies.

3.8. **GENERAL**

Auditor would be given access to all Books of Accounts, Procurement documents, Legal documents, Sanction letters of TOMPL, and all other documents and information which they think necessary for the purpose of audit. The auditors may make specific observations with respect to the efficiency of financial procedure, the accounting system and in general, the administration and management of the organization

3.9. **TIME SCHEDULE:**

Tenure of Empanelled Chartered Accountants is Five Years as per Coal Mining Agreement.

4.0 **QUALIFYING REQUIREMENTS (QR):** **TECHNICAL QUALIFICATION CRITERIA:**

Sl. No	Criteria	Compliance	Type of Supporting documents
1	The firm should have conducted statutory audit of the annual accounts of at least one hundred companies registered under the Companies Act, 1956, including any re-enactment or amendment thereof, of which at least ten should have been public sector undertakings.	Yes / No (If yes, provide self-attested supporting documents)	Signed copy of the Audit Report/Balance Sheet & P & L Statements
2	The firm should have at least 5 (five) practicing chartered accountants on its rolls, each with a minimum experience of 10 (ten) years in the profession.	Yes / No (If yes, provide self-attested supporting documents)	Firm Constitution Certificate/ICAI Firm CARD
3	The firm or any of its partners should not have been disqualified or black.Listed by the Comptroller and Auditor General of India or NLCIL.	Yes / No (If yes, provide self-attested/undertaking supporting documents)	Self-Declaration
4	The firm should have an office in the State or in an adjacent State with at least 2 (two) practicing chartered accountants on its rolls in such State.	Yes / No (If yes, provide self-attested supporting documents)	Firm Constitution Certificate/ICAI Firm CARD for branch and self-declaration for number of partners

Note:

1. "State" shall mean the State or the Union Territory, as the case may be in which the Project is situated and "State Government" means the government of that State or Union Territory. i.e., Odisha.
2. Only those Chartered Accountant firms, meeting all the criteria's mentioned above shall be considered for empanelment.

4.1 LIST OF DOCUMENTS TO BE SUBMITTED ALONG WITH THE APPLICATIONS:

Interested and eligible audit firms are advised to go through contents of the tender conditions carefully and submit all attested copies of the following documents in proper sequence along with their application through online as described hereinafter:

- a) Registration certificate of the firm issued by the Institute of Chartered Accountants of India. Information related to year of establishment of Head Office & Branch Office(s), addresses, details of partners and their membership nos. etc. as indicated in the Certificate will be treated as conclusive & final information for evaluation of tender documents.
- b) Details of Professional experience of the Partners.
- c) Details of disqualification of partners or firms if any by C&AG.
- d) Details of Partners working in the Head office/Branch in the state of Odisha or adjacent state.
- e) Details of Biodata of each practicing Chartered Accountants on its rolls, each with a minimum experience of 10 (Ten) years in the profession.
- f) Documentary proof w.r.t conducting Statutory Audit of companies registered in companies Act,1956/2013.
- g) Copies of appointment orders for statutory audit in Public Sector Undertakings (PSU) as evidence of experience.
- h) Documentary proof w.r.t. year wise information relating to the names of all the companies with an annual turnover exceeding Rs. 25,00,00,000/- (Rupees Twenty-Five Crore only) whose annual accounts were audited by such firm in any of the preceding 5 (five) Accounting Years. (i.e, 2015-16 to 2019-20)
- i) Copy of PAN Card.
- j) Copy of GST Registration.
- k) A cancelled cheque of the Bank to which payments will be made.
- l) A copy of complete EOI document duly signed and sealed as a token of acceptance of all terms and conditions.
- m) Copy of Affidavit as per format (Annexure -A) & Power of Attorney.

5.0 SELECTION CRITERIA (POINT ALLOCATION) FOR EMPANELMENT OF AUDIT FIRMS WILL BE AS FOLLOWS:

Particulars	Points to be allocated	Type of Supporting documents
Statutory Audit of a companies whose turn over exceeding Rs.25 crore during the preceding Five years (i.e, 2015-16 to 2019-20)	1 mark for each annual audit	Signed copy of the Balance Sheet & P & L Statements

Note:

- The information furnished by each firm shall be scrutinized and evaluated by NLCIL and 1 (one) point shall be rewarded for each annual audit of the companies as per above criteria. For the avoidance of doubt any by way of illustration, a firm which has conducted audit of the annual accounts of any such company for five years shall be awarded five points.
- NLCIL shall prepare a list of all the eligible firms along with the points scored by each of such firm and 5 (five) firms scoring the highest points shall be identified and included in the draft Panel of Chartered Accountants in consultation with TOMPL
- If the secured marks will become tie, then the firm will be decided based on lot basis.
- After completion of every five years from the date of preparing the mutually agreed Panel of Chartered Accountants, or such earlier period as may be agreed between NLCIL and TOMPL, a new panel shall be prepared in accordance with the provisions.

6.0 AUDIT FEES:

The bidders should submit duly filled in Price Table (as per **Annexure-I under Section-C**) **through online only** in the specified form and the bidders are requested to note the following:

- The bidder shall quote his price (**for reference only**) for the entire scope of work as per price format given and on firm price basis only. The bidder quoting a system of prices other than that specified, run the risk of summary rejection of the bid.
- The Bidder shall quote his price against each item of the schedule as indicated in the price format. The bidder shall quote the prices only once after considering all the pros and cons.
- All the Sl. Nos. and columns in the 'Price Table ' shall be quoted both in figures as well as in words. If no prices are envisaged for any of the entries, 'Nil' shall be mentioned. The terms, such as 'not applicable', 'if applicable', 'included' 'included in', included above', '-----' or blank shall not be used.
- The prices (**for reference only**) shall be quoted in Indian Rupees only. Bidders have to quote the total price of Audit assignment inclusive of out of pocket expense. GST will have to be quoted separately in the invoice.
- All fees and expenses of Statutory Auditor shall be borne by the TOMPL.

- f) The price quoted shall be all inclusive of out of pocket expenses, travelling, boarding, accommodation and lodging expenses and local conveyance which may be incurred by the Statutory Auditor and/or its officials in connection with the Contract and shall be borne and payable by the Statutory Auditor alone and TOMPL/NLCIL shall not be bound to make payment of any amounts in this regard to the Statutory Auditor. If Guest house available at audit location same will be provided only on chargeable basis. The Commercial proposal shall not include any conditions attached to it. Any such conditional Commercial proposal shall be liable for rejection.
- g) GST has to be quoted separately in addition to professional fee. In case, GST is not quoted separately in the offer, the offer will be considered as inclusive of the same at applicable GST rate at the time of opening of bid shall be evaluated accordingly.
- h) The Price quoted by the bidder for the first year in price table, shall not be considered for evaluation and selection of the firm. Further, price quoted by the firm will be considered as estimate and final professional fees for the 1st year and fee for further years (next 4 years) will be decided based on mutual discussion between selected audit firm from mutually agreed panel and TOMPL.
- i) Notwithstanding any information and data which may be contained in the EOI Document the bidder has to make independent enquiries and generally obtain his own information on all matters that may in any way affect the Price.

7.0 PAYMENT TERMS:

The payment terms with respect to audit fees will be decided based on mutual discussion between selected Audit firm from the mutually agreed panel and TOMPL.

8.0 EVALUATION OF BIDS:

Only those Chartered Accountant firms, meeting all Technical Qualification Criteria as per clause 4.0 shall be considered for further evaluation as per the clause 5.0 Selection Criteria for Empanelment of Audit Firms.

9.0 GENERAL TERMS AND CONDITIONS

- i. Expression of Interest (EOI) is only for the purpose of short-listing/empanelment of Firms and does not carry any assurance for allotment of Audit assignments.
Only empanelled firms will be intimated about their selection in due course.
- ii. NLCIL shall have the right to, but not obligation, to appoint at its cost from time to time and any time, another firm (the Additional Auditor) from the Panel of Chartered Accountants to audit and verify all those matters, expenses, costs, realizations and things which the Statutory Auditors are required to do, undertake or certify pursuant to Coal Mining Agreement.
- iii. The Company reserves its right to accept or reject any application/s without assigning any reasons therefore. The decision of the Company for empanelment of the Firms will be final and binding upon the parties participating in the short-listing/empanelment.
- iv. The appointment of an Audit Firm will be made from the empanelled list of firms.

- v. The Statutory Auditor will ensure that the information obtained in respect of the operation of the company is maintained in strict confidence and secrecy. A Certificate towards maintaining confidentiality is to be provided by the Statutory Auditor, to whom appointment letter has been issued, at the time of acceptance of Audit assignment.
- vi. Tenure of Empanelled Chartered Accountants is Five Years as per Coal Mining Agreement.
- vii. TOMPL may terminate the appointment of its Statutory Auditors after a notice period of 45 (forty-five) days to NLCIL, subject to replacement of Statutory Auditors being appointed from Panel of Chartered Accountants.
- viii. The Audit Firm will be debarred from getting future, Audit assignments in NLC India Ltd., & its subsidiaries and TOMPL in the following cases:
 - a) If the Firm obtains the appointment on the basis of false information/false statement at the time of submission of application/documents for the Empanelment of Audit Firms.
 - b) The Audit Firm is found to have sub-contracted the work.
 - c) If the Firm does not take up audit in terms of the appointment letter.
 - d) If the Firm does not submit the Audit Report, complete in all respect, in terms of the appointment.

9.1. Instructions to Audit Firms:

- a) Format of Application must be completely filled in. Incomplete applications will be rejected out rightly.
- b) All the qualifying requirement documents will be evaluated on the basis of the documents furnished along with applications. During the scrutiny of the documents if any documents or details regarding Qualifying Requirements are missing or any further / shortfall documentary evidences are required to satisfy QR, NLCIL shall address the Bidder to furnish the documents within stipulated time .Only one chance shall be allowed. Failure to furnish the requested documents, the offer will be processed with available documents in EOI bid .
- c) Since, all the applications will be evaluated strictly on the basis of Qualifying Requirements (QR)-Technical Qualification Criteria and Selection Criteria as per clause No 4.0 & .5.0 respectively, please avoid attaching unsolicited information/ documents for processing applications expeditiously.
- d) All submitted documents should be signed by a Partner with his name and under the seal of the firm.

10.0 EOI DOCUMENTS SUBMISSION:

A. Online Documents

- a) The Bidder shall submit their EOI documents through online at NLCIL Portal www.econts.nlcindia.in after carefully examining the documents. The Bidder's name stated in the EOI Bid shall be the exact legal name of the firm.

- b) Bidders shall ensure that all uploaded documents are duly authorized by a Partner with his name and under the seal of the firm. Further Bidders are required to submit an undertaking as a confirmation of acceptance of terms & conditions of this EOI tender.
- c) Bidder may note that mere submission of filled in bid for enlistment and/or submission of additional information do not automatically entitle him to claim for enlistment. NLCIL at its sole discretion may invite or modify or annul the process without assigning reasons whatsoever.
- d) Bidders to further ensure that EOI documents uploaded by NLCIL are being downloaded properly. Further, file related to particular Attachment/Schedule including their Annexure, Appendices, if any, shall be given name of that Attachment/Schedule only.
- e) Bid shall be hosted/ uploaded on the system as per time lines & the schedule specified on the EOI tender notification.

**(B) INSTRUCTIONS FOR SUBMISSION OF EOI DOCUMENTS THROUGH
ONLINE AT NLCIL PORTAL:**

Pre requisites for accessing NLCIL Website

Refer to NLCIL's portal econts.nlcindia.in for system requirements.

(i) Digital Certificate

Bidder should have a legally valid **CLASS III Digital Certificates (i) Digital Signature, non-repudiation certificate (used for Signing) and (ii) Key Encipherment Certificate (used for encrypting Bid Document) with Organisation name** from any of the licenced Certifying Authorities (CA) operating under the Root Certifying Authority of India (RCAI), Controller of Certifying Authorities (CCA) of India.

Ensure that all necessary trust certificates and drivers are installed as per CA's instruction and working properly. **For detailed guidance about browser and Java configuration the bidders are advised to go through the guide available in the portal.**

(ii)Registration:

The process involves Bidder registration with NLCIL website under e-contracts portal. Only after successful registration, the Bidder(s) can submit his/their bids electronically. Bidders are required to make their own arrangement for bidding from a computer connected with Internet. NLCIL shall not be responsible for making such arrangements.

Interested bidders are required to register in the URL by clicking on "New Bidder" and fill up the registration form with their details like Bidder's address, user ID and Password, etc., and submit. Kindly note that Bidder name will be captured from Digital Signing Certificate (DSC). Bidders are advised to keep note of the same. It may be noted that no separate user id and password will be provided by NLCIL. Acceptance or Rejection of Registration request for the Tender will be intimated by a separate e-mail message.

After successful registration, the bidder can login with their user ID, password & DSC and participate in the EOI tender.

(iii) Bidding Process:

Preparation of Bid

The Bidder will be able to submit his EOI documents online, using the respective Forms available in the system. For Guidelines, please refer to the help in the Portal.

Before submission of EOI documents, the Bidder can edit and save his documents any number of times till closing time for submission.

The documents attached to the respective forms should be in PDF format and submitted online using Bidder's Digital Signature Certificate.

File Size: 5MB is the maximum size of a single file that can be attached.

For any clarifications in uploading the EOI documents, bidders may contact the help desk provided in the www.econts.nlcindia.in

(C)- Offline Documents

The Bidders are required to submit following original documents in hard copy in a separate sealed envelope:

- a) Power of Attorney
- b) Affidavit

The envelope containing the above documents shall be sealed and the envelope super scribed on the top as "Expression of Interest (EOI) for 'Empanelment of Indian Chartered Accountant Audit Firms (Partnership/ Limited Liability Partnership) as Statutory Auditor for M/s.Talabira (Odisha) Mining Private Ltd (TOMPL) - Mine Development Operator (MDO) Of Talabira II& III Coal Block, Odisha of NLC India Limited' and submitted to the address mentioned below:

- (i) Shri. P. Seshadri, Chief Manager/Contracts
- (ii) Shri. C. Venkatraman, Dy. Chief Manager/Contracts
- (iii) Smt. P.C. Mini, APO/Contracts

O/o The General Manager/Contracts & IE,
Corporate Contracts Division, Corporate Office, Block-1,
NLC India Limited, Neyveli – 607801, Tamil Nadu.

The above documents shall be submitted well in advance so as to be received by the NLCIL before the submission of closing date and time as stipulated in the Tender.

NLCIL shall not be liable for loss/non-receipt/late receipt of above documents in postal transit. If outer envelope is not sealed and marked as required above, the NLCIL will assume no responsibility for its misplacement.

11.0 DEADLINES FOR SUBMISSION OF APPLICATIONS:

Applications must be submitted online and offline not later than the time and date stated in the Schedule. NLCIL shall not be liable for loss/non-receipt/late receipt of above documents in postal transit. In the event of the specified date for submission of bids being

declared a holiday for NLCIL, bids will be received up to the appointed time on the next working day.

NLCIL may, at its discretion, extend this deadline for submission of bids by amending the Enlistment documents, in which case all rights and obligations of NLCIL and Bidders will thereafter be subject to the dead line as extended.

12.0 AMENDMENT TO THE EOI DOCUMENTS:

- a) At any time prior to the deadline for submission of bids, NLCIL may, for any reason, whether at its own initiative, or in response to a clarification requested by a prospective Bidder, issue an amendment to the EOI documents.
- b) The amendments will be issued through NLCIL website (econts.nlcindia.in) and Central Public Procurement Portal (CPPP) of Government of India website www.eprocure.gov.in. The amendments will be binding on Bidder(s) and it will be assumed that the information contained therein have been taken into account by the Bidder in its bid,. Bidders are also advised to regularly check NLCIL website and CPPP Portal regarding posting of Amendment, if any.
- c) In order to afford prospective Bidders reasonable time in which to take the amendment into account in preparing their bid, NLCIL may, at its discretion, extend the deadline for the submission of bids..
- d) Bidders are advised to check regularly NLCIL website and CPPP Portal for updates.

13.0 OPENING OF EOI:

- a) NLCIL shall first open physical Envelope containing Power of Attorney and Affidavit and online bids will be opened subsequently for evaluation.
- b) NLCIL will open the offline and online bids on the date and time specified in this EOI Tender Document. In the event of the specified date for the opening of bid being declared a holiday for NLCIL, bid will be opened at the appointed time on the next working day. Bidder's attendance during the bid opening in NLCIL Premises is not envisaged.
- c) Bids submitted by Fax/Email and the bids received after the expiry of the time specified for receiving completed bids shall be rejected.
- d) Bids which are complete, complying and responsive to the requirements of the Empanelment Document will be considered for evaluation.

14.0 CANCELLATION EMPANELED FIRM:

- a) The Enlistment of agencies shall be cancelled by NLCIL in case of their poor performance, abandoning of allotted work, and delay in completion of work and, bankruptcy and activities detrimental to the interest of NLCIL. The decision of NLCIL / TOMPL in this regard shall be final and binding on the Empaneled firm/LLP.
- b) In case of change of name of the Empaneled firm/LLP without change of constitution/partners, the same shall be intimated along with proof of such change to NLCIL and TOMPL immediately but in no case later than thirty (30) days from the date of such change.

15 PERIOD OF EMPANELMENT:

This empanelment shall be valid for a period of five (5) years from the date of empanelment.

16.0 ADDRESS FOR COMMUNICATION:

The General Manager/Contracts & IE,
Corporate Office,
NLC India Ltd.,
Block-1, Neyveli-607 801, Tamil Nadu.
Phone: 04142 - 212308 & 251620
E-Mail ID: gmconts_co@nlcindia.in

Clarification request, if any, should reach the above address on or before 06.09.2021.

17.0 DISPUTE RESOLUTION:

In the event of there being any difference between the findings of the Additional Auditor appointed by NLCIL and certification provided by the Statutory Auditors, such auditors shall meet to resolve the differences and if they are unable to resolve the same, such Dispute shall be resolved by NLCIL by recourse to the Dispute Resolution procedure. In respect of a dispute so referred, the decision of NLCIL shall be final and binding upon the parties until the completion of the contract and shall forthwith be given effect to by the MDO who shall proceed with the work with all due diligence, whether or not either party has sought Dispute Resolution Procedure.

The Laws applicable to this contract shall be the laws in force in India. The civil courts having ordinary jurisdiction over Odisha shall alone have exclusive jurisdiction over all matters concerning this contract including the arbitration proceedings if any arising under the contract.

18.0 DISCLAIMER

NLCIL reserves the right not to proceed further, to change the process or procedure of empanelment. It also reserves the right to reject any or all applications or cancel/withdraw the Empanelment process without assigning any reasons whatsoever and in such case no Bidder / intending Bidder shall have any claim arising out of such action. It also reserves the right to decline to discuss further with any Bidder applying for Empanelment.

Issue of this document does not in any way commit or otherwise obliges NLCIL to proceed with all or any part of EOI tender process. The EOI request is not the subject of any process contract or any contractual obligations between NLCIL and the applicants to the EOI. Further NLCIL may at its absolute discretion either modify or abandon any part or whole of the document and/or process, without giving prior notice to any or all the applicant. All Expression of Interest documents, upon submission by any applicant to this EOI shall become the property of NLCIL. NLCIL is not liable for any costs or compensation in relation to the consideration of this EOI.

**GENERAL MANAGER/ CONTRACTS & IE
For NLC INDIA LIMITED**

FORMAT OF APPLICATION

1. Name of the Firm :
2. Registration No. of the Firm :
3. Date of Registration of the Firm :
4. Details of Head Office & Branch Office(s):

Head Office:

Address	Date of Establishment	Contact No.(s)/Fax	E- mail

Branch Office 1

Address	Date of Establishment	Contact No.(s)/Fax	E- mail

Branch Office 2

Address	Date of Establishment	Contact No.(s)/Fax	E- mail

Branch Office 3

Address	Date of Establishment	Contact No.(s)/Fax	E- mail

(Insert further Branch office(s), if any)

5. Details of partner in the Firm / Limited Liability Partnership firm:

Sl No	Name of the Chartered Accountants	Membership No.	Membership Status ACA/FCA	Years of Experience in the profession	Uploaded the document
1					Firm Constitution Certificate/ICAI Firm CARD for branch and self-declaration for number of partners
2					
3					
4					
5					

6. Details of Chartered Accountants in the Firm / Limited Liability Partnership firm with minimum 10 years of experience in the profession:

Sl No	Name of the Chartered Accountants	Membership No.	Membership Status ACA/FCA	Years of Experience in the profession	Uploaded the document
1					Firm Constitution Certificate/ICAI Firm CARD
2					
3					
4					
5					

7. Details of Partners in the Odisha office/Branch or adjacent state:

Sl No	Name of the Partner	Membership No.	Membership Status ACA/FCA	Years of Experience in the profession	State / adjacent state:	Uploaded the document
1						Firm Constitution Certificate/ICAI Firm CARD for branch and self-declaration for number of partners
2						
3						
4						
5						

8. Details of Statutory Audit Experience in companies registered as per Companies Act, 1956 or 2013:

Sl. No.	Name of the Company	Year of Audit	Uploaded the document
1.			Signed copy of the Audit Report/Balance Sheet & P & L Statements
2.			
3.			

9. Details of Statutory Audit Experience in Public Sector Undertakings:

Sl. No.	Name of the Company/ Unit	Year of Audit	Uploaded the document
1.			Signed copy of the Audit Report/Balance Sheet & P & L Statements
2.			
3.			

10. Details of Statutory Audit Experience in companies whose annual turnover exceeding Rs. 25,00,00,000/- (Rupees Twenty-five Crore Only) were audited by firm in any of the preceding 5 (five) Accounting Years. (i.e, 2015-16 to 2019-20):

Sl. No.	Name of the Company	Year of Audit	Uploaded the document
1.			Signed Audited copy of the Balance Sheet & P & L Statements
2.			
3.			

11. Readiness for Audit under IFRS Compliance guidelines. Yes

12. Income Tax PAN No. of the Firm:

13. GST Registration No:

14. The firm or any of its partners should not have been disqualified or blacklisted by the Comptroller and Auditor General of India or NLCIL. - Yes, Attach Self-Declaration

15. Bidder should furnish copy of Power of Attorney / Authorization with the seal of the company in favour of the person signing the bid. Yes .Attached

16. Bidder should furnish Affidavit as per Annexure -A Yes .Attached

Note: For the details mentioned in the above, proper supporting documents should be attached along with application.

Signature of Partner with
Name & Seal of the Audit Firm

SECTION – C

Annexure –I

SCHEDULE OF PRICE

Particulars	Amount in Rs.
Professional fees for Statutory audit Including all the out of pocket expenses as per scope of work for first year	
GST Rate (in %)	
GST amount	
Total fee for first year	

Note: The fees quoted by the bidder shall not be the criteria for Selection of firm for Empanelment.

SECTION -D

ANNEXURE-A

AFFIDAVIT

(The following Affidavit shall be furnished by all bidders)

From:

(Name & Address of Bidder)

.....
.....
.....
.....

We do hereby declare that the contents of the offer submitted vide No._____ against this EOI tender (i.e EOI Tender No.CO CONTS/0022M/STATUTORYAUDITOR/TALABIRA/2021,dated 26.08.2021) have been submitted after fully understanding that the information contained in the offer and the documents enclosed/provided along with the bid are true, correct and complete in every aspect and that if any information contained therein is found to be false/incorrect/fabricated, offer shall be considered as null and void and we shall be liable for any penal action as per the provisions of Law for the time being in force.

i) I/We Partner / Legal Attorney / Proprietor/ Accredited Representative of M/s..... declare that, we are submitting our offer/bid for the services vide our offer..... dated

ii) The contents/enclosures/documents of the offer are submitted after fully understanding that all the information furnished by me / us are correct and true and complete in every respect and all documents/credentials submitted along with the offer/bid are genuine, authentic, true and valid.

iii) During the verification of offer or even at a later date, if any information or document submitted by me/us is found to be false /fabricated/ incorrect, the said offer shall be considered absolutely null and void and action as deemed fit shall be taken against me / us as per the EOI tender conditions and law.

Authorized Signatory
(Name & Designation)

Place:

Date :

Seal:

SECTION -E
SPECIMEN FORMATS/FORM

1.QUALIFYING REQUIREMENTS (QR) FORM

Clause No.	Qualifying requirement	Bidder response regarding uploading of documentary evidence for QR
1.	Whether you have conducted statutory audit of the annual accounts of at least one hundred companies registered under the Companies Act, 1956, including any re-enactment or amendment thereof, of which at least ten should have been public sector undertakings? (If yes, provide self-attested supporting documents- Signed copy of the Audit Report/Balance Sheet & P & L Statements	Yes/No
2.	Whether you have at least 5 (five) practicing chartered accountants on its rolls, each with a minimum experience of 10 (ten) years in the profession. (If yes, provide self-attested supporting documents-Firm Constitution Certificate/ICAI Firm CARD)	Yes/No
3.	The firm or any of its partners should not have been disqualified or blacklisted by the Comptroller and Auditor General of India or NLCIL. (If yes, provide self-attested/undertaking supporting documents-)	Yes/No
4.	The firm should have an office in the State or in an adjacent State with at least 2 (two) practicing chartered accountants on its rolls in such State. (If yes, provide self-attested supporting documents- Firm Constitution Certificate/ICAI Firm CARD for branch and self-declaration for number of partners	Yes/No

Note:

1. "State" shall mean the State or the Union Territory, as the case may be in which the Project is situated and "State Government" means the government of that State or Union Territory. i.e., Odisha
2. Only those Chartered Accountant firms, meeting all the criteria's mentioned above shall be considered for empanelment.

2. BIDDER'S DETAILS

Sl. No	Description	Bidder's Response
1	Particulars of the firm	
2	Principal Bidder/Bidder	
3	Name and Address	
4	Telephone No.	
5	Fax No.	
6	E – Mail address	
7	Name of Contact Person	
8	Mobile Number of Contact Person	
9	Date of Birth (DD/MM/YYYY) of Contact Person	
10	Bank Details	
11	Name of the beneficiary	
12	Bank A/c No.	
13	Type of Account Saving/current	
14	Name of the Bank	
15	Name of the Branch	
16	IFS Code (For NEFT/RTGS Payment)	
17	Bank address	
18	Bank Fax No.	
19	Bank email id	
20	Bank Phone No.:	
21	Income Tax PAN No. of the Firm:	
22	GST Registration No.	
23	Other Statutory Registration No., if any	
24	Name and full address of Chief executives	
25	A cancelled cheque of the said Bank.	Upload Files
26	Upload Supporting Documents if any	Upload Files

3. UNDERTAKING

Sl. No	Description	Bidders response
1	I/we have read and examined and understood the requirements of EOI, Qualifying Requirement (QR) and selection criteria etc. I/we agree to accept all terms and conditions as per EOI document and Amendment/corrigendum issued if any.	Yes/No
2	I/We also confirm that all furnished information & uploaded document are correct and genuine to the best of our knowledge. I/We also confirm that we don't have any objection for any physical verification & assessment and in obtaining confirmation from any relevant authorities/ company regarding any / all information furnished by us.	Yes/No
3	I/We also confirm that we shall update NLCIL for any change in status / furnished information appropriately within the currency of EOI. In any furnished information / uploaded document found to be incorrect / forged during the process of EOI or after EOI, NLCIL may take appropriate action as deemed fit.	Yes/No

COMPANY SEAL

SIGNATURE

NAME

DESIGNATION

COMPANY'S NAME:

DATE

4.CHECKLIST FOR BID SUBMISSION

Sl. No	Description	Bidder Response
1	Please ensure that all the attachments in the offer are only in English language? If any document is in any other Language, the same shall be got translated in English version duly authorized by the Notary Public or Competent Authority by the Bidder and upload both the versions.	Yes/No
2	Have you read and filled all the forms?	Yes/No
3	Have you attached all the documentary evidences for meeting QR conditions as per EOI tender?	Yes/No
4	Have you attached undertaking as per EOI tender?	Yes/No
5	Have you attached necessary annexure / documents as per EOI tender conditions?	Yes/No
6	Have you furnished the following physical documents in original in a sealed cover within the schedule time as per the EOI tender document? (a) Power of Attorney b) Affidavit	Yes/No
7	Whether documentary evidences for having conducted Statutory Audit of a companies whose turn over exceeding Rs.25 crore during the preceding Five years (i.e, 2015-16 to 2019-20) are attached . Note : 1 mark for each annual audit	Yes/No
8	Attach signed copy of Power of Attorney	Yes/No
9	Attach signed copy of affidavit as per format	Yes/No
10	Attach Copy of PAN Card	Yes/No
11	Attach Copy of GST Registration.	Yes/No
12	Attach a cancelled cheque of the Bank to which payments will be made	Yes/No
13	Attach copy of Registration certificate of the firm issued by the Institute of Chartered Accountants of India.	Yes/No
14	Attach a copy of complete EOI document duly signed and sealed as a token of acceptance of all terms and conditions	Upload files