

**Bihar Mahadalit Vikash Mission**  
**2<sup>nd</sup> Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna**

Notice Inviting Proposals from eligible Consultants for appointment as Financial Management and Accounting Support Consultants in **Bihar Mahadalit Vikash Mission**, Govt. of Bihar

Ref No.

Date: 22/06 / 2018

Mahadalit Vikas Mission invites proposal from Chartered Accountant Firms for Strengthening of Financial Management and Accounting Systems.

Mission Director, Mahadalit Vikas Mission, Govt. of Bihar Undertaking invites proposals from eligible Chartered Accountant Firms for selection of Financial Management and Accounting Support Consultants to Strengthen the Financial Management and Accounting System of the Mahadalit Vikas Mission at the State level. Associates or JV arrangement or networking is not allowed under the assignment.

**Pre-requisite for participating Chartered Accountant Firm:**

1. The Chartered Accountant Firm should must be registered with ICAI and have Income Tax Registration and Goods & Service Tax Registration [Enclose copy **all Certificate of Registration**].
2. The CA Firms should have been in operation in India for at least 10 years after registration and have its office in Bihar.
3. CA Firm should have at least 5 years of experience in working/consulting in the accounting and financial management sector (**not statutory audit and Internal Audit**) of Central /state level Government Organisations of India or in any state Government or Central Government Undertakings and undertaken similar assignments. [Provide brief details and a Declaration signed by the Partner of the Firm in the respect]
4. Average annual turnover of the bidder for the last three financial years should be more than Rs. 50 lakhs. [Provide copies of audited Financial Statements for all the 3 years].
5. Interested CA Firms may download the complete Proposal (RFP) Document, from tender section on the website <http://www.mahadalitvikasmission.org>.

6. Interested CA Firms may submit their proposals along with a Demand Draft of Rs. 2500/- (Rupees Two Thousand Five Hundred only) drawn in favour of The Mission Director, Bihar Mahadalit Vikas Mission, payable at Patna, towards the cost of RFP Document (Non-refundable) and the Bid Security (Refundable) as mentioned in the RFP. No proposals will be accepted without cost of RFP document and Bid security. No liability will be accepted for downloading the incomplete document.
7. Sealed Completed proposals along with the demand draft in acceptable form will be received at the address mentioned below through registered post or courier up **23/07/2018**. Bid Eligibility and Technical Proposal of Bids shall be opened on **24/07/2018** at 11:00 hours at following Address: BMVM will not be responsible for late receipt of tender in any manner.
8. Mission Director, Bihar Mahadalit Vikas Mission reserves the right to accept or reject any or all proposals without incurring any obligation to inform the affected applicant/s of the grounds. The proposals will be evaluated based on the information provided by the CA Firms and the evaluation criteria detailed in RFP Document.

  
**Mission Director**  
**Bihar Mahadalit Vikas Mission**  
**2nd Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna**  
**Website-[www.mahadalitvikasmission.org/](http://www.mahadalitvikasmission.org/)**  
**Phone: 9931248027**



2018

**Request for Proposal (RFP) Document for Selection  
of Consultancy Firms for appointment as Financial  
Management & Accounting Support Consultant in  
Bihar Mahadalit Vikas Mission, Govt. of Bihar**

**Mission Director**

**Bihar Mahadalit Vikas Mission**

**2nd Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna**

**Email [www.mahadalitvikasmission.org/](http://www.mahadalitvikasmission.org/)**

**Phone: 9931248027**





**Proposal for Selection of Chartered Accountant Firm for  
Strengthening Financial Management and Accounting System at  
BMVM State Office, Patna**

**TENDER Ref NO:**

**Date:**

**COST OF RFP DOCUMENT:**

**Rs. 2500/- (Non Refundable)**

**CONTRACT PERIOD:**

**36 Months**

**Tender Receiving Date**

**23/07/ 2018**

**Opening of Technical Bid**

**24/07/2018 (11.00 AM)**



22-6-24

## SECTION 1: LETTER OF INVITATION

## Section 1 – Letter of invitation

Ref: B.M.V.M. 369-01/14 - 921

Date: 22/06/2018

From:

**Mission Director**

**Bihar Mahadalit Vikas Mission**

**2nd Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna**

**Website-[www.mahadalitvikasmission.org/](http://www.mahadalitvikasmission.org/)**

**Phone: 9931248027**

**E Mail- [mail@bmvm.org](mailto:mail@bmvm.org)**

To:

*[Insert: Name and Address of Consultant].....*

Attention: Mr/Ms

1. The Mission Director, Bihar Mahadalit Vikas Mission, Dept. Of Schedule Caste and Tribe Corporation , Government of Bihar invites proposals to provide the following consulting services:

**Financial Management and Accounting Support Consultants in Bihar Mahadalit Vikas Mission**

2. The Background Information and Terms of Reference for the Consulting services are provided in Section 5 of the Request for Proposal (RFP)
3. This RFP is available to all eligible prospective consulting firms.
4. A firm will be selected under Quality and Cost Method and procedures described in this RFP, in accordance with the policies of the Govt. of Bihar.
5. The RFP includes the following documents:

Section 1 - Letter of Invitation

Section 2 - Instructions to Consultants (including Data Sheet)

Section 3 - Technical Proposal - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 - Terms of Reference

Section 6 - Standard Contract Document

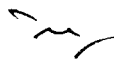
6. The deadline for receipt of proposals is up to **23/07/2018**.

7. BIHAR MAHADALIT VIKAS MISSION reserves the right to accept or reject any or all proposals, and to annul the selection process and reject all proposals at any time prior to the award of contract, without thereby incurring any liability or any obligation in any form to the affected firms on any grounds.

Yours faithfully,

  
Mission director,

## SECTION 2: INSTRUCTION TO CONSULTANTS





## Section 2- INSTRUCTIONS TO CONSULTANTS

### 1. INTRODUCTION

#### General

1.1 Bihar Mahadalit Vikas Mission, Govt. of Bihar (GOB), Patna, Bihar, will select a consulting firm /organization (the Consultant) in accordance with the method of selection specified in the bid document / data Sheet.

1.2 Consultants should familiarize themselves with local conditions and take them into account in preparing their Proposals. To obtain first-hand information on the assignment and local conditions, Consultants are encouraged to visit the project site.

1.3 Consultants shall bear all costs associated with the preparation and submission of their Proposals. Costs might include site visit; collection of information; and, if selected, attendance at contract negotiations etc.

1.4 The Bihar Mahadalit Vikas Mission is not bound to accept any Proposal and reserves the right to annul the selection process at any time prior to contract award, without thereby incurring any liability to the Consultants.

1.5 In preparing their Proposals, Consultants are expected to examine in detail the documents comprising the RFP. Material deficiencies in providing the information requested may result in rejection of a Proposal.

#### **Conflict of Interest**

1.6 Mahadalit Vikas Mission requires that Consultants provide professional, objective, and impartial advice and at all times hold the Client's interests paramount, avoid conflicts with other assignments or their own corporate interests and act without any consideration for future work.

Consultants shall not be recruited for any assignment that would be in conflict with their prior or current obligations to other clients, or that may place them in a position of not being able to carry out the assignment in the best interest of the Mahadalit Vikas Mission. Without limitation on the generality of the foregoing, Consultants, and any of their associates shall be considered to have a conflict of interest and shall not be selected under any of the circumstances set forth below:

- (i) If a Consultant combines the function of consulting with those of contracting and/or supply of equipment; or
- (ii) If a Consultant is associated with or affiliated to a contractor or manufacturer; or
- (iii) If a Consultant is owned by a contractor or a manufacturing firm with departments or design offices offering services as Consultants. The Consultant should include relevant information on such relationships along with a statement in the Technical Proposal cover letter to the effect that the Consultant will limit its role to that of a Consultant and disqualify itself and its associates from work, in any other

capacity or any future project within the next five years (subject to adjustment Mission in special cases), that may emerge from this assignment (including bidding or any part of the future project). The contract with the Consultant selected to undertake this assignment will contain an appropriate provision to such effect; or

(iv) If there is a conflict among consulting assignments, the Consultant (including its personnel and sub-consultants) and any subsidiaries or entities controlled by such Consultant shall not be recruited for the relevant assignment. The duties of the Consultant depend on the circumstances of each case. While continuity of consulting services may be appropriate in particular situations if no conflict exist, a Consultant cannot be recruited to carry out an assignment that, by its nature, will result in conflict with another assignment of such Consultant. For example, a Consultant engaged to prepare engineering design for an infrastructure project shall not be recruited to prepare an independent environmental assessment for the same project; similarly, a Consultant assisting a client in privatization of public assets shall not purchase, nor advise purchasers of, such assets or a Consultant hired to prepare terms of reference for an assignment shall not be recruited for the assignment in question.

### **1.7 Fraud and Corruption**

(i) The Mahadalit Vikas Mission requires that consultants observe the highest standard of ethics during the procurement and execution of such contracts. In such pursuance of this policy, the Mahadalit Vikas Mission defines, for the purposes of this provision, the terms set forth below as follows:

(a) "corrupt practice" means behaviour on the part of officials in the public or private sectors by which they improperly and unlawfully enrich themselves and/or those close to them, or induce others to do so, by misusing the position in which they are placed, and it includes the offering, giving, receiving, or soliciting of anything of value to influence the action of any such official in the procurement process or in contract execution; and

(b) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the borrower, and includes collusive practices among bidders (prior to or after bid submission) designed to establish bid prices at artificial, non-competitive levels and to deprive the borrower of the benefits of free and open competition).

(ii) will reject a Proposal for award if it determines that the bidder recommended for award has engaged in corrupt or fraudulent practices in competing for the contract; and

(iii) will declare a firm ineligible, either indefinitely or for a stated period of time, to be awarded By MAHADALIT VIKAS MISSION contract if it at any time determines that the firm has engaged in corrupt or fraudulent practices in competing for, or in executing, any MAHADALIT VIKAS MISSION contract.



**Proposal:**

- 1.8 If a Consultant (including a partner in any Joint Venture) submits or participates in more than one proposal, such proposals shall be disqualified. However, this does not limit the inclusion of a Sub-Consultant, including individual experts, in more than one proposal.

**Proposal Validity**

- 1.9 The Data Sheet indicates how long the Consultants' Proposals must remain valid after the submission date. During this period, the Consultants shall maintain the availability of experts nominated in the Proposal. The Client will make its best effort to complete negotiations within this period. In case of need, the Client may request Consultants to extend the validity period of their proposal. Consultants have the right to refuse to extend the validity period of their Proposals.

**Participation of Government Employees**

- 1.12 No current government employee shall be deployed by the consultant without the prior written approval by the appropriate authority.

**1.13 Bid Security (Earnest Money Deposit)**

a. The bid security of amount indicated in Data Sheet in favour of *"The Mission Director, Mahadalit Vikas Mission payable at Patna shall be in the form of Account Payee Demand Draft, Fixed Deposit Receipt, Banker's Cheque or Bank Guarantee from any of the commercial banks in an acceptable form. The bid security is to remain valid for a period of forty-five days beyond the final bid validity period.*

b. The Employer shall reject any bid not accompanied by appropriate bid security, as non responsive.

c. The bid security of the successful Bidder shall be returned as promptly as possible once the he has signed the Contract and furnished the required performance security.

d. Bid securities of the unsuccessful bidders shall be returned to them at the earliest after expiry of the final bid validity and latest on or before the 30th day after the award of the contract to successful bidder.

e. The bid security may be forfeited:

- (a) If a Bidder withdraws its bid during the period of bid validity.
- (b) if the successful Bidder fails to:
  - (i) sign the Contract within required time frame;
  - (ii) Furnish a performance security.

**2. CLARIFICATIONS AND AMENDMENTS TO RFP DOCUMENTS**

- 2.1 Consultants may request a clarification of any of the RFP documents up to fifteen (15) days prior to the Proposal submission date indicated in the Data



Sheet. Any request for clarification must be sent in writing to the address indicated in the Data Sheet. The Client will respond in writing and will send written copies of the response, including an explanation of the query but without identifying the source of inquiry, to all Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure under Sub-Clause 2.2.

- 2.2 At any time before the submission of Proposals, the Client may, whether at its own initiative, or in response to a clarification requested by a firm, amend the RFP by issuing an addendum. The addendum shall be sent to all Consultants and will be binding on them. To give Consultants reasonable time in which to take an amendment into account in their Proposals, the Client may at its discretion, if the amendment is substantial, extend the deadline for the RFP submission.

### **3. PREPARATION OF THE PROPOSAL**

- 3.1 Consultant's Proposal (the Proposal) will consist of three (3) components
- (i) **Envelope I:** Bid Security, Cost of RFP Document (if any), and evidences of proving Bid Eligibility;
  - (ii) **Envelope II:** the Technical Proposal, and
  - (ii) **Envelope III:** the Financial Proposal
- 3.2 Bid Security, Cost of RFP Document and evidences of proving Bid Eligibility: Bid security as mentioned in clause no 1.13 above shall be placed in Envelope I. In addition, the consultants must enclose all evidences to support the bid eligibility along with the Demand Draft for the cost of RFP Document, if any. If the bid security, cost of RFP document, and evidences supporting bid eligibility are found proper then only technical and financial proposals will be entertained.
- 3.3 The Proposal, as well as all related correspondence exchanged by the Consultants and the Client, shall be in English. All reports prepared by the contracted Consultant shall also be in English.
- 3.4 The Proposal should include a cover letter signed by person(s) with full authorization to make legally binding contractual (including financial) commitments on behalf of the firm. The letter should specify all association arrangements, and certify that each associated firm will perform its designated tasks under the assignment if the lead firm is awarded the contract.
- 3.5 The Technical Proposal should clearly demonstrate the Consultant's understanding of the assignment requirements and capability and approach for carrying out the tasks set forth in the TOR through the nominated experts.

#### 4. THE TECHNICAL PROPOSAL

##### General

4.1 The Technical Proposal shall not include any information related to financial proposal and any Technical Proposals containing information related to financial proposal shall be declared non-responsive.

##### Technical Proposal Format

- 4.2 (i) The consultant shall submit technical proposal as per the data sheet which indicates the format of the Technical Proposal to be used for the assignment. Submission of the wrong type of Technical Proposal will result in the Proposal being deemed non-responsive.
- (ii) The following table summarizes the content and maximum number of pages permitted for each type of Proposal. A page is considered to be one printed side of A4 size paper.

| <b>Proposal Type<br/>Content</b>  | <b>Full Technical Proposal (FTP)</b>   | <b>Simplified Technical Proposal (STP)</b>                          | <b>Bio-data Technical Proposal (BTP)</b>                                   |
|---|--|---|--|
| <b>Experience of the firm</b>   | (i) Maximum two (2) pages introducing the firm and associate firm(s) background and general experience (Form TECH-2A).<br>(ii) Maximum of twenty (20) pages of relevant completed projects in the format of Form TECH-2B illustrating firm and associate(s) firm's relevant experience.<br>No promotional material should be included. | Not required.   | Not required.  |
| <b>General approach and methodology, work plan for understanding of the Project</b> | Maximum Twenty Five (25) pages inclusive of charts and diagrams (Form TECH-4).   | Maximum ten (10) pages including charts and diagrams (Form TECH-4). | Maximum one (1) page for work plan. No written methodology to be provided. |
| <b>Personnel schedule</b>   | Form TECH-7  | Form TECH-7   | Form TECH-7  |

| Proposal Type<br>Content                                   | Full Technical Proposal (FTP)                                     | Simplified Technical Proposal (STP)                             | Bio-data Technical Proposal (BTP)                               |
|--|---|---|---|
| Comments on terms of reference                             | No limit, but to be concise and to the point (Form TECH-3A).      | Included as part of general approach and methodology.           | Not required.   |
| Experts' CVs   | Maximum of five (5) pages for each expert's CV using Form TECH-6. | Maximum of five (5) pages CV for each expert using Form TECH-6. | Maximum of five (5) pages CV for each expert using Form TECH-6. |
| Counterpart staff and facility requirements                | Maximum of two (2) pages (Form TECH-3B).                          | Not required.   | Not required.   |
| List of Proposed Expert Team and Summary of CV Particulars | Form TECH-5   | Form TECH-5   | Form TECH-5   |

**Note:** The above page limits excludes all supporting documents, like audited financial statements, certificates of registration, certificates from employers showing completed work or on-going work, etc.

### Technical Proposal Content

4.3 The Technical Proposal shall contain information indicated in the following paragraphs from (i) to (xiii) using the Standard Technical Proposal Forms (Form TECH-1 to Form TECH-7). Such information must be provided by the Consultant and each Associate.

- (i) A brief description of the organization and outline of recent experience of the consultant and each associate on assignments of a similar nature is required in prescribed form. For each assignment, the outline should indicate *inter-alia*, the assignment, contract amount and the consultant's involvement. Information should be provided only for those assignments for which the consultant was legally contracted by the client as a corporate entity or as one of the major participating consulting firms within an association (Joint venture). In case the assignment was carried out in joint venture then the JV agreement is to be submitted. Assignments completed by individual experts working privately or through other consulting firms cannot be claimed as the experience of the Consultant, or that of the Consultant's Associate(s), but can be claimed by the individuals themselves in their CVs. Consultants should be prepared to substantiate the claimed experience if so requested by the Client.



- (ii) A concise, complete, and logical description of how the Consultant's team will carry out the services to meet all requirements of the TOR.
- (iii) A work plan showing in graphical format (bar chart) the timing of major activities, anticipated coordination meetings, and deliverables such as reports required under the TOR.
- (iv) An organization chart indicating relationships amongst the Consultant and any Associate(s), the Client, and other parties or stakeholders, if any, involved in the assignment.
- (v) Comments, if any, on the TOR to improve performance in carrying out the assignment. Innovativeness will be appreciated, including workable suggestions that could improve the quality/effectiveness of the assignment. In this regard, unless the Consultant clearly states otherwise, it will be assumed by the Client that work required to implement any such improvements, are included in the inputs shown on the Consultant's Staffing Schedule.
- (vi) The Technical Proposal shall not include information related to financial proposal. Technical Proposals containing information related to financial proposal shall be declared non responsive.

#### **Personnel**

- (vii) Name, age, background employment record, and professional experience of each nominated expert, with particular reference to the type of experience required for the services should be presented in the prescribed CV format.
- (viii) Only one CV may be submitted for each position.
- (ix) Higher rating will be given to nominated experts from the consulting firm and associated consulting firms, if any, who are regular full-time employees. The Client defines a regular full-time employee to be a person who has been employed continuously by the Consultant or one of its Associates, for more than twelve (12) months prior to the date of submission of the Proposal.
- (x) The Client requires that each expert confirm that the content of his/her curriculum vitae (CV) is correct and the experts themselves should sign the certification of the CV. Note that the need to provide address and fax/e-mail details of experts in the CVs of the experts is not considered mandatory.
- (xi) A zero rating will be given to a nominated expert if the expert:
  - (a) has not signed the CV;

#### **5. FINANCIAL PROPOSAL**

- 5.1 All information provided in Consultants' Financial Proposal will be treated as confidential.
- 5.2 The Financial Proposal is to be submitted in the requisite forms enclosed.
- 5.3 No proposed schedule of payments should be included in Consultants' Financial Proposals.

- 5.4 Consultants shall quote the rates in Indian National Rupees only.
- 5.5 Form FIN-2 is an acknowledgement that, in preparation and submission of the Technical and Financial Proposals, Consultants have:
- (i) Not taken any action which is or constitutes a corrupt or fraudulent practice; and
  - (ii) Agreed to allow the Client, at their option, to inspect and audit all accounts, documents, and records relating to the Consultant's Proposal and to the performance of the ensuring Consultant's Contract.
- 5.7 The rates to be quoted shall be in the format given in Data Sheet and it shall include all costs / expenses and statutory taxes excluding GST. The Client shall pay GST as applicable on prevailing rates.
- 6. SUBMISSION, RECEIPT AND OPENING OF PROPOSALS**
- 6.1 The original Proposal (Earnest Money Deposit, Technical and Financial Proposals) shall contain no interlineations or overwriting, except as necessary to correct errors made by Consultants themselves. Any such corrections, interlineations or overwriting must be initialled by the person(s) who signed the Proposal.
- 6.2 An authorized representative of the Consultant shall initial all pages of the original copy of the Financial Proposal. No other copies are required.
- 6.3 The Technical Proposal shall be marked "**ORIGINAL**" or "**COPY**" as appropriate. All required copies of the Technical Proposal as specified in the Data Sheet will be made from the original. If there are discrepancies between the original and the copies of the Technical Proposal, the original governs.
- 6.4 The original and all copies of the Technical Proposal to be sent to the Client shall be placed in a sealed envelope clearly marked "**TECHNICALPROPOSAL.**" Similarly, the original Financial Proposal shall be placed in as sealed envelope clearly marked "**FINANCIAL PROPOSAL**" and with a warning "**DO NOT OPEN WITH THE TECHNICAL PROPOSAL.**" The envelopes (Envelope 1 –Earnest Money Deposit, Envelope 2 –Technical and Envelope 3 –Financial Proposals) shall be placed into an outer envelope and sealed. The outer envelope shall bear the submission address, reference number and title of the loan project, and other information indicated in the Data Sheet. **If the Financial Proposal is not submitted by the Consultant in a separate sealed envelope and duly marked as indicated above, this will constitute grounds for declaring both Technical and Financial Proposals non-responsive.**
- 6.5 Proposals must be sent at the indicated client submission addresses on or before the time and date stated in the Data Sheet or any new date established by the Client according to provisions of Sub-Clause 2.2.

## **7. PROPOSAL EVALUATION**

### **General**

- 7.1 From the time the Proposals are opened to the time the contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Any effort by a Consultant to influence the Client in examination, evaluation, ranking of Proposals or recommendation for award of contract may result in rejection of the Consultant's Proposal.
- 7.2 The envelope 1 shall be opened first. If the bid security is not found to be in order then the proposal shall be treated as non responsive and shall not be evaluated further.

### **Evaluation of Technical Proposals**

- 7.3 The eligibility criteria will be first evaluated as defined in notice Inviting Request for Proposals for each bidder. Detailed technical evaluation will be taken up in respect of only those bidders, who meet with the prescribed eligibility criteria.
- 7.4 The Client's 'Consultants Selection Committee' (CSC) will be responsible for evaluation and ranking of Proposals received.
- 7.5 The CSC evaluates and ranks the Technical Proposals on the basis of Proposal's responsiveness to the TOR using the evaluation criteria and points system specified in the Data Sheet. Each Technical Proposal will receive a technical score. A Proposal shall be rejected if it does not achieve the minimum technical mark of 750 from the maximum of 1000 points.
- 7.6 A Technical Proposal may not be considered for evaluation in any of the following cases:
- (i) the Consultant that submitted the Proposal or one of its Associated Consultants belongs to one of the cases described in Sub-Clause 5.6(i) to and failed to make a proper statement to that effect in the cover letter ;  
or
  - (ii) the Consultant that submitted the Proposal or one of its Associated Consultants was found not to be legally incorporated or established in India; or
  - (iii) the Technical Proposal was submitted in the wrong format;
  - (iv) the Technical Proposal included details of costs of the services; or
  - (v) The Technical Proposal reached the Client after the submission closing time and date specified in the Data Sheet.

After the technical evaluation is completed, the Client shall notify Consultants whose Proposals did not meet the minimum qualifying technical mark or



Consultants who's Technical Proposals were considered non-responsive to the RFP requirements, indicating that their Financial Proposals will be returned unopened after completion of the selection process. The Client shall simultaneously notify, in writing Consultants whose Technical Proposals received a mark of 750 or higher, indicating the date, time, and location for opening of Financial Proposals. (Consultants' attendance at the opening of Financial Proposals is optional).

**8. PUBLIC OPENING AND EVALUATION OF FINANCIAL PROPOSALS**

**Public Opening of Financial Proposals**

8.1 At the public opening of Financial Proposals, Consultant representatives who choose to attend will sign an Attendance Sheet.

(i) The marks of each Technical Proposal that met the minimum mark of 750 will be read out aloud.

(ii) Each Financial Proposal will be checked to confirm that it has remained sealed and unopened.

(iii) The Client's representative will open each Financial Proposal. Such representative will read out aloud the name of the Consultant and the total price shown in the Consultant's Financial Proposal. This information will be recorded in writing by the Client's representative.

**Evaluation of Financial Proposals**

8.2 Under LCS financial proposals shall be opened publicly and read out;

8.3 Consultants' attendance at the opening of Financial Proposals is **optional**.

8.4 The evaluation committee will review the detailed content of each Financial Proposal. During the review of Financial Proposals, the Committee and any Client personnel and others involved in the evaluation process, will not be permitted to seek clarification or additional information from any Consultant, who has submitted a Financial Proposal. Financial Proposals will be reviewed to ensure these are:

(i) complete, to see if all items of the corresponding Accounting Proposal are priced; if not, for material omissions, the Client will price them by application of the highest unit cost and quantity of the omitted item as provided in the other Financial Proposals and add their cost to the offered price, and correct any arithmetical errors.

(ii) computational errors if there are errors these will be corrected;

(iii) Other errors, such as activities which are shown as different time lines in Accounting proposal and different in financial; price for these will be based on the Accounting proposal.

8.5 The detailed contents of each Financial Proposal will be subsequently reviewed by the Client.

8.6 The evaluated total price (ETP) for each Financial Proposal will be determined.

- 8.7 When the LCS method is used: the Client will select the lowest Financial Proposal of a Consultant who's Accounting Proposal has qualified.

**9. Contract Negotiations and Award of Contract**

- 9.1 The Consultant who is invited for contract negotiations will, as a pre-requisite for attendance at the negotiations, confirm availability of all experts named in its proposal except in the cases of absence on account of death or medical incapacity. Failure in satisfying such requirements may result in the Client proceeding to initiate the negotiation process with the next-ranked Consultant. Representatives conducting negotiations on behalf of the Consultant must have written authority to negotiate and conclude the Contract
- 9.2 The selected Consultant is expected to commence the Assignment on the date and at the location specified in the Data Sheet.

**10. Performance Security**

The consultant will furnish within 10 days of the issue of Communication of the Final Selection to the Consultant , an Account Payee Demand Draft/ Fixed Deposit Receipt/ Unconditional Bank Guarantee (in prescribed format)/ in favour of Bihar Mahadalit Vikas Mission ,payable/en-cashable at Patna, from any nationalised or scheduled commercial Bank in India for an amount equivalent to 5% (Five percent) of the total contract value towards Performance Security valid for a period of twelve (12) months beyond the stipulated date of completion of services. The Bank Guarantee will be released after twelve months and rectification of errors, if any, found during appraisal/approval of reports by competent authorities whichever is later.

22-8-20

## Section 2: Data Sheet to Instruction to Consultants

|          |   |
|----------|---|
| 1.01     | <p>Name of the Client:<br/>Bihar Mahadalit Vikas Mission</p> <p>Client's Representative:<br/><b>Mission Director</b> , Bihar Mahadalit Vikas Mission</p> <p>Method of selection: Least Cost Method</p>  |
| 1.02     | <p>Financial Proposal to be submitted together with Accounting Proposal: <b>Yes</b></p> <p>Name of the assignment is: <b>Financial Management and Accounting Support Consultants in Mahadalit Vikas Mission ,Govt, of Bihar</b></p> <p>More details on the services are provided in the Terms of Reference (ToR) under Section 5.</p> |
| 1.03     | <p>The Client will provide the following inputs and facilities:<br/>Mentioned in Terms of Reference (TOR)</p>   |
| 1.04     | <p>Proposals must remain valid for 90 days after the submission date.</p>   |
| 1.05     | <p>Not Applicable</p>   |
| 1.06 (a) | <p>The Bid Security (refundable) amount is as under in prescribed format:-<br/><b>Rs1, 00,000.00 (Rupees One Lakh only) in the Form of Demand draft.</b></p>  |
| 2.01     | <p>Any clarifications may be requested at the address mentioned below :</p> <p>Mission Director<br/>Bihar Mahadalit Vikas Mission<br/>2nd Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna<br/>Email: mail@bmvn.org<br/>Phone: 9931248027</p>  |

| 2.02 (i) | The format of the Accounting Proposal to be submitted is:<br><b>Full Accounting Proposal (FTP)</b>   |                 |          |                 |   |  |     |   |  |     |   |   |     |
|----------|--|-----------------|----------|-----------------|---|--|-----|---|--|-----|---|---|-----|
| 2.03 (i) | <b>Mission Director do not permits Association Arrangements and Joint Ventures with other Consultancy firms for this assignment.</b>   |                 |          |                 |   |  |     |   |  |     |   |   |     |
| 5.04     | <p>Under this contract the Consultant's payments Input Based as mentioned in Terms of Reference (TOR).</p> <p>The Consultant shall quote Consultancy Charges for satisfactory performance of the services under the contract in terms of Monthly Fees for the State Mission Office only.</p> <p>It is expected that consultant has quoted its fee considering all requirements for satisfactory performance of the services included in TOR. If the consultant has not considered any component for performance of the services, no extra payment shall be made on this account.</p>                                 |                 |          |                 |   |  |     |   |  |     |   |   |     |
| 5.07     | Amounts payable by the Client to the Consultant under the contract shall be subjected to local taxes if any. The Client will pay Goods and Service Tax, on prevailing rates as applicable on the consultancy charges.  |                 |          |                 |   |  |     |   |  |     |   |   |     |
| 6.05     | Proposals must be submitted on the following date and time:<br><b>Date: 23/07/2018.</b>  |                 |          |                 |   |  |     |   |  |     |   |   |     |
| 7.01     | <p>Accounting Proposals shall be evaluated on the basis of following pre-identified criteria:</p> <p>(a) Accounting criteria that would be considered for selection of preferred bidder would be as follows:</p> <table><tr><th>Sl. No</th><th>Criteria</th><th>Score Allocated</th></tr><tr><td>1</td><td>Firms General Experience &amp; Experience in Similar Assignments</td><td>300</td></tr><tr><td>2</td><td>Approach &amp; Methodology for proposed assignment</td><td>300</td></tr><tr><td>3</td><td>Qualification and Experience of Team Leader &amp; Other Key Professionals</td><td>400</td></tr></table> | Sl. No          | Criteria | Score Allocated | 1 | Firms General Experience & Experience in Similar Assignments | 300 | 2 | Approach & Methodology for proposed assignment | 300 | 3 | Qualification and Experience of Team Leader & Other Key Professionals | 400 |
| Sl. No   | Criteria   | Score Allocated |          |                 |   |  |     |   |  |     |   |   |     |
| 1        | Firms General Experience & Experience in Similar Assignments   | 300             |          |                 |   |  |     |   |  |     |   |   |     |
| 2        | Approach & Methodology for proposed assignment   | 300             |          |                 |   |  |     |   |  |     |   |   |     |
| 3        | Qualification and Experience of Team Leader & Other Key Professionals  | 400             |          |                 |   |  |     |   |  |     |   |   |     |

|       |   |      |
|-------|---|------|
|       |   |      |
|       | Total Score   | 1000 |
|       | <p>(b) The members of the CSC will carry out the evaluation of proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria. Each responsive proposal will be given an Accounting score.</p> <p>(c) Narrative Evaluation Criteria is attached at Appendix-I to Data Sheet</p> |      |
| 8.01  | Expected date for opening of Financial Proposals will be announced later  |      |
| 8.08  | <p>When the LCS method is used: the Client will select the lowest Financial Proposal of a Consultant who's Accounting Proposal has qualified.</p> <p>Financial Proposal of Only that consultant will be open whose Accounting Proposal will secure 750 marks.</p>   |      |
| 10.01 | Expected date for contract negotiations: announced later  |      |
| 10.02 | Expected date for commencement of consulting services announced later.  |      |
| 10.03 | The duration of the assignment shall be Thirty Six Months (36) .But may be extended further in view of satisfactory performance for maximum period of two years on annual basis with the approval of Board of Directors.  |      |
| 10.04 | If the successful bidder after allotment of work refuses to accept work his performance guarantee shall be forfeited and may be debarred to participated in future tender of BMVM.  |      |

2

## Appendix-I to Data Sheet

### NARRATIVE EVALUATION CRITERIA FOR FULL ACCOUNTING PROPOSAL (FTP)

#### I. FIRM'S GENERAL EXPERIENCE AND EXPERIENCE IN SIMILAR ASSIGNMENTS (300 Points)

- A. General Experience of Firm (90 points) Criteria:** The extent and depth of experience of the firm and its associates in Financial Management Consultancy/ Double Entry Accounting Systems/ Auditing etc. in the related sector those are similar to the requirement of the TOR in terms of Accounting parameters, quantum of work and required inputs and financial parameters.

**Factors to consider:** Each reference project included in the Accounting proposal will be judged against the criteria established. Higher scores will be given to a firm, which has more experiences for projects with relevant nature. A consultant who has primary responsibility (i.e. the lead consultant) will be given a score higher than a consultant whose responsibility was secondary (i.e. associate consultant).

**B. Experience in Similar Geographical Areas (90 points)**

**Criteria:** Extent of experience in similar geographical region taking in consideration elements such as population size, economic development stage and possibly other social factors.

**Factors to consider:** Higher scores will be given to a firm with better regional experiences.

**C. Experience in Similar Projects: Experience in Financial Management & Accounting and Building Capacity of the Accounting Staffs of Government Undertakings (120 points) Criteria:**

The extent and depth of experience of the firm and its associates in listing and valuation of all municipal assets and conversion/ preparation of accounts based on DEAS and capacity –building of Govt and/or public sector organisations that are similar to the requirement of the TOR in terms of Accounting parameters, quantum of work and required inputs and financial parameters.

**Factors to consider:** Each reference project included in the Accounting proposal will be judged against the criteria established. Higher scores will be given to a firm, which has more experiences for projects with relevant nature. A consultant who has primary responsibility (i.e. the lead consultant) will be given a score higher than a consultant whose responsibility was secondary (i.e. associate consultant).



## **APPROACH AND METHODOLOGY (300 Points)**

### **A. Understanding of Objectives (30 points)**

**Criteria:** General understanding of the project requirement coverage of principal components as requested in TOR; and site visit assessment.

**Factors to consider:** The three following aspects will be considered:

|                       |     |
|-----------------------|-----|
| General understanding | 40% |
| Components coverage   | 40% |
| Site visit            | 20% |

Maximum points will be given if all the three aspects are positively judged.

### **Quality of Methodology (90 points)**

**Criteria:** The degree to which the presented written methodology/approach addresses the requirements of the TOR.

**Factors to consider:** Assessment of the inter-relationship of work program and methodology write-up. A consistent relationship is to be given maximum points.

### **C. Innovativeness/Comments on Terms of Reference (30 points)**

**Criteria:** Suggestions, which could improve the quality of the project.

**Factors to consider:** Points will be given for workable suggestions proposed. No innovativeness will be given zero points.

### **D. Work Program (75 points)**

**Criteria:** A work program showing graphical presentation of activities (bar chart) and an organization chart

**Factors to consider:** Work program will be assessed on logical sequence of events. The organization chart is **to be assessed on the consultant's understanding of relationship between the consultant** and the Mahadalit Vikas Mission.

### **E. Personnel Schedule (45 points)**

**Criteria:** Relationship between required person-months and proposed work program.

**Factors to consider:** The Personnel Schedule will be assessed based on phasing of activities of the work program and allocation and timing of expert's individual inputs. Total requirements close to estimated work requirements will be assessed as well as the appropriateness of time allocated to the task to be performed in terms of individual expertise. The balance between field time and home office time and the proposed number of trips will be checked.

### **F. Counterpart Personnel and Facilities (15 points)** **Criteria:** Requirement for counterpart personnel, office space, transportation, equipment and services.

**G. Factors to consider:** Reasonableness and completeness of requirements and understanding of local conditions will be assessed.

**H. Proposal Presentation (15 points) Criteria:** Clarity and ease of assessment of the entire proposal (including material presentation).

**Factors to consider** If all items requested in the invitation letter are covered in a clear and easily understandable form and the proposal is assembled in a professional manner, maximum points will be given.

### III. PERSONNEL (400 Points)

#### Expertise

**Criteria:** Separate assessment of each expert listed in the Request for Proposal. Each expert is to be evaluated against the tasks assigned in accordance with four main criteria:

(i) General experience such as academic qualification and the no. of years of related experience: (20%)

(ii) Project related experience based on the number of relevant projects implemented: (60%)

(iii) Experience for any projects: (10% for team leader, 15% for team member); and

(iv) For assessing full time permanent employment the personnel deployed who has worked for the current employer on a regular/permanent full-time basis continuously for the last 12 months, additional:

(a) 10% for team leadership (fixed); and 5% for experts (fixed)

#### DETAILED MARKING SCHEME FOR ACCOUNTING EVALUATION

| Sl No. | Criteria   | Weight age | Maximum Marks |
|--------|--|------------|---------------|
| 1      | <b>Firms General Experience &amp; Experience in Similar Assignments</b> Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff "</b> as a Project management unit /State level Consultant at the State Level | 100%       | 300           |
| A      | <b>General Experience of Firm</b>  | 30%        | 90            |
|        | Less than 1 Projects   |            | 0             |
|        | Less than 2 Projects   |            | 30            |
|        | Two or more than two but less than 5 Projects  |            | 60            |
|        | 5 or more than 5 but less than 10 Projects   |            | 75            |
|        | 10 or more than 10 Projects  |            | 90            |
|        |  |            |               |

|   |   |      |     |
|---|---|------|-----|
| B | Experience in Similar Geographical Areas  | 30%  | 90  |
|   | No Similar Projects   |      | 0   |
|   | Less than 2 Similar Projects  |      | 45  |
|   | Two or more than two but less than 5 Similar Projects   |      | 60  |
|   | 5 or more than 5 Similar Projects   |      | 90  |
| C | <b>Experience in Similar Projects: Assignments</b> Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff "</b> as a Project management unit /State level Consultant at the State Level <b>EVALUATE AS PER THE FOLLOWING SUB-CRITERIA</b> | 40%  | 120 |
|   | No experience in similar projects   |      | 0   |
|   | <b>Assignments</b> Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff "</b> as a Project management unit /State level Consultant at the State Level <b>(One Govt Under taking )</b>   |      | 30  |
|   | <b>Assignments</b> Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff "</b> as a Project management unit /State level Consultant at the State Level <b>(Two Govt Under taking)</b>  |      | 60  |
|   | Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff (Three Govt Under taking )</b>   |      | 90  |
|   | <b>Assignments</b> Experience in Accounting and Financial Management of Government Undertaking /Corporation , and <b>Capacity-building of Staff "</b> as a Project management unit /State level Consultant at the State Level <b>(Four Govt Under taking)</b>   |      | 120 |
| 2 | <b>Approach &amp; Methodology for proposed assignment</b>   | 100% | 300 |
| A | Understanding of Objectives   | 10%  | 30  |
| B | General Understanding (45%)   |      | 12  |
| C | Components coverage (45%)   |      | 12  |
| D | Site visit (10%)  |      | 6   |
| E | Quality of Methodology  | 30%  | 90  |
| F | Innovativeness/Comments on Terms of Reference   | 10%  | 30  |
| G | Work Program  | 25%  | 75  |
| H | Personnel Schedule  | 15%  | 45  |
| I | Counterpart Personnel and Facilities  | 5%   | 15  |
| J | Proposal Presentation   | 5%   | 15  |
| 3 | <b>Qualification and Experience of Team Leader &amp; Other Key</b>  | 100% | 400 |
| A | Team Leader   |      | 300 |

|      |  |      |     |
|------|--|------|-----|
| (i)  | Leadership Experience  |      | 100 |
|      | 1 To 2 Projects  |      | 50  |
|      | 3 to 5 Projects  |      | 75  |
|      | More than 5 Projects   |      | 100 |
| (ii) | Accounting & Finance Specialist Experience   | 100% | 200 |
|      |  |      |     |
|      | General experience such as academic qualification and the number of years of experience in Financial Management and Accounting of Govt. undertakings                                   | 20%  | 40  |
|      | Project related experience based on the number of relevant projects implemented related to Financial Management and Accounting of Govt. undertakings                                   | 60%  | 120 |
|      | Overseas experience and/or country experience in any Project   | 10%  | 20  |
|      | For assessing full time permanent employment the personnel deployed who has worked for the current employer on a regular/permanent full-time basis continuously for the last 12 months | 10%  | 20  |
| B    | Finance and Accounting Specialist  | 100% | 100 |
|      | General experience such as academic qualification and the number of years of experience preferably in Financial Management and Accounting of Govt. undertakings                        | 20%  | 20  |
|      | Project related experience based on the number of relevant projects implemented preferably related to Financial Management and Accounting of Govt. undertakings                        | 60%  | 60  |
|      | Overseas experience and/or country experience in any Project   | 15%  | 15  |
|      | For assessing full time permanent employment the personnel deployed who has worked for the current employer on a regular/permanent full-time basis continuously for the last 12 months | 5%   | 5   |

**Weighted Marks for Expertise provided in the Terms of Reference (ToR) under Section 5:**

22-60

**Bid Security Form (Bank Guarantee)**

(Bank's name, and Address of Issuing Branch or Office)

WHEREAS M/s \_\_\_\_\_ (INSERT THE NAME OF THE CONSULTANT) (HEREINAFTER CALLED THE "CONSULTANT") HAS SUBMITTED ITS ACCOUNTING & FINANCIAL PROPOSALS FOR THE WORK OF \_\_\_\_\_ (INSERT THE NAME OF WORK FOR WHICH PROPOSAL IS SUBMITTED) (HEREINAFTER CALLED THE "PROPOSAL") UNDER PACKAGE NO. \_\_\_\_\_ ON DATED \_\_\_\_\_ AGAINST THE EMPLOYER'S NOTICE INVITING TENDERS (NIT) NOTICE INVITING REQUEST FOR PROPOSALS (NIP)/ INVITATION FOR BID (IFB) No. \_\_\_\_\_ (INSERT NIT/NIP/IFB NUMBER AS PER PUBLICATION IN NEWS PAPER OR WEBSITE). FURTHERMORE, WE UNDERSTAND THAT, ACCORDING TO YOUR CONDITIONS, PROPOSALS MUST BE SUPPORTED BY A BID SECURITY. AT THE REQUEST OF THE CONSULTANT, WE \_\_\_\_\_ (INSERT NAME OF THE BANK) HEREBY IRREVOCABLY UNDERTAKE TO PAY YOU ANY SUM OR SUMS NOT EXCEEDING IN TOTAL AMOUNT OF \_\_\_\_\_ (INSERT BID SECURITY AMOUNT IN FIGURES) \_\_\_\_\_ (AMOUNT IN WORDS) UPON RECEIPT BY US OF YOUR FIRST DEMAND IN WRITING ACCOMPANIED BY A WRITTEN STATEMENT STATING THAT THE CONSULTANT IS IN BREACH OF ITS OBLIGATION(S) UNDER THE RFP CONDITIONS, BECAUSE THE CONSULTANT: (A) HAS WITHDRAWN ITS PROPOSAL DURING THE PERIOD OF PROPOSAL VALIDITY SPECIFIED BY THE CONSULTANT IN THE ACCOUNTING PROPOSAL FORM; OR (B) DOES NOT ACCEPT THE CORRECTION OF ERRORS IN ACCORDANCE WITH THE INSTRUCTIONS TO CONSULTANTS (HEREINAFTER "THE ITC") OF THE RFP DOCUMENT; OR (C) HAVING BEEN NOTIFIED OF THE ACCEPTANCE OF ITS PROPOSAL BY THE EMPLOYER DURING THE PERIOD OF PROPOSAL VALIDITY, (i) FAILS OR REFUSES TO EXECUTE THE CONTRACT AGREEMENT, OR (ii) FAILS OR REFUSES TO FURNISH THE PERFORMANCE SECURITY, IN ACCORDANCE WITH THE ITC. THIS GUARANTEE WILL EXPIRE: (A) IF THE CONSULTANT IS THE SUCCESSFUL CONSULTANT, UPON OUR RECEIPT OF COPIES OF THE CONTRACT AGREEMENT SIGNED BY THE CONSULTANT AND THE PERFORMANCE SECURITY ISSUED TO YOU UPON THE INSTRUCTION OF THE CONSULTANT; AND (B) IF THE CONSULTANT IS NOT THE SUCCESSFUL CONSULTANT, UPON THE EARLIER OF (i) OUR RECEIPT OF A COPY YOUR NOTIFICATION TO THE CONSULTANT OF THE NAME OF THE SUCCESSFUL CONSULTANT; OR (ii) FORTY-FIVE DAYS AFTER THE EXPIRATION OF THE CONSULTANT'S PROPOSAL. CONSEQUENTLY, ANY DEMAND FOR PAYMENT UNDER THIS GUARANTEE MUST BE RECEIVED BY US AT THE OFFICE ON OR BEFORE THAT DATE. THIS GUARANTEE IS SUBJECT TO THE UNIFORM RULES FOR DEMAND GUARANTEES, ICC PUBLICATION NO. 758. \_\_\_\_\_ BANK'S SEAL AND AUTHORIZED SIGNATURE(S) \_\_\_\_\_



22-6-18

### SECTION 3: TECHNICAL FORMS



**FORM TECH-1: ACCOUNTING PROPOSAL SUBMISSION FORM**

[Location, Date]

To:

Mission Director  
Bihar Mahadalit Vikas Mission  
2nd Floor, Sachivalay Vistarikaran Bhawan, Block 3, Patna  
Website-[www.mahadalitvikasmission.org/](http://www.mahadalitvikasmission.org/)  
Phone: 9931248027  
E Mail-mail@bmvm.org

Dear Sir/Madam:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Request for Proposal dated [Insert Date] and our Proposal. We are hereby submitting our Proposal, which includes this Accounting Proposal, and a Financial Proposal sealed under a separate envelope.

We are submitting our Proposal in individual capacity without entering in association with/as a Joint Venture. We hereby declare that all the information and statements made in this Proposal are true and accept that any misinterpretation contained in it may lead to our disqualification.

If negotiations are held during the period of validity of the Proposal, i.e., before the date indicated in the Data Sheet, we undertake to negotiate on the basis of the proposed personnel. Our Proposal is binding upon us and subject to the modifications resulting from Contract negotiations.

We undertake, if our Proposal is accepted, to initiate the consulting services related to the assignment not later than the date indicated in the Data Sheet (*Please indicate date*).

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]:

Name and Title of Signatory: \_

Name of Firm:

Address:



**FORMTECH-2: CONSULTANT'S ORGANIZATION AND EXPERIENCE**

**A - Consultant's Organization**

*[Provide here a brief (two pages) description of the background and organization of the Consultant.]*

**B - Consultant's Experience [For Full Accounting Proposals Only]**

*[The following information should be provided in the format below for each reference assignment for which your firm, either individually as a corporate entity or as one of the major companies within a consortium, was legally contracted by the Employer stated below.]*

|  |  |
|--|--|
| <b>Assignment name:</b>  | <b>Approx. value of the contract (in current Rs):</b>  |
| <b>Country:</b><br><b>Location within country:</b>   | <b>Duration of assignment (months):</b>  |
| <b>Name of Client:</b>   | <b>Total Number of person-months of the assignment:</b>  |
| <b>Address:</b>  | <b>Approx. value of the services provided by your firm under the contract</b>                          |
| <b>Start date (month/year):</b><br><b>Completion date (month/year):</b>  | <b>Nº of professional person-months provided by the joint venture partners or the Sub-Consultants:</b> |
| <b>Name of joint venture partner or sub-Consultants, if any:</b>   |  |
| <b>Name of senior regular full time employees of your firm involved and functions performed (indicate most significant profiles such as Secretary/Coordinator, Team Leader):</b> |  |
| <b>Narrative description of Project:</b>   |  |
| <b>Description of actual services* provided in the assignment:</b>   |  |

**\*(Certificate from Employer regarding experience should be furnished)**

**Firm's Name:**

**Signature of Authorized Representative:**



**Form TECH-3:**

**Comments and Suggestions on the Terms of Reference and on Counterpart Staff and Facilities to be Provided by the Client**

**A - On the Terms of Reference [For Full Accounting Proposals Only]**

*[Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding others, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.]*

- 1.
- 2.
- 3.
- 4.
- 5.
- ..

**B – On Counterpart Staff and Facilities [For Full Accounting Proposals Only]**

*[Comment here on counterpart staff and facilities to be provided by the Client according to Clause Reference 1.5 of the Data Sheet including: administrative support, office space, local transportation, equipment, data, etc.]*

- 1.
- 2.
- 3.

**Form TECH-4: Description of Approach, Methodology and Work Plan for Performing the Assignment [As per the details mentioned in the NARRATIVE EVALUATION CRITERIA]**

**Accounting Approach and Methodology,**

**Work Plan, and**

**Organization and Personnel,**

- a) **Accounting Approach and Methodology.** *In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the Accounting approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.*

b) **Work Plan.**

In this chapter you should propose the main activities of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and delivery dates of the reports. The proposed work plan should be consistent with the Accounting approach and methodology, showing understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents, including reports, drawings, and tables to be delivered as final output, should be included here. The work plan should be consistent with the Work Schedule of Form TECH-7.

c) **Organization and Personnel.**

In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment, the key expert responsible, and proposed Accounting and support personnel. You shall also specify if you will be the lead firm in a joint venture or in an association with Sub-Consultants. For joint ventures, you must attach a copy of the joint venture agreement.]

## FORM TECH-4: TEAM COMPOSITION, TASK ASSIGNMENTS AND SUMMARY OF CV INFORMATION

### Team Leader and Key Professionals

| Surname, First Name | Firm Acronym | Area of Expertise | Position Assigned | Task Assigned | Employment Status with Firm (full-time/ other) | Education/ Degree (Year / Institution) | No. of years of relevant project experience | CV signature (by expert/by other) |
|---------------------|--------------|-------------------|-------------------|---------------|--|--|---|-----------------------------------|
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |
|                     |              |                   |                   |               |  |  |   |                                   |

### Support Staff

| Sl No | Surname, Name | Position | Task Assignment |
|-------|---------------|----------|-----------------|
|       |               |          |                 |
|       |               |          |                 |
|       |               |          |                 |



---

**FORMTECH-5: CURRICULUM VITAE (CV) FOR PROPOSED EXPERTS:**

*[Summary of CV: Furnish a summary of the above CV. The information in the summary shall be precise and accurate. The information in the summary will have bearing on the evaluation of the CV]*

1. Proposed Position *[only one candidate shall be nominated for each position]*: \_\_\_\_
2. Name of Firm *[Insert name of firm proposing the expert]*: \_\_\_\_
3. Name of Expert *[Insert full name]*: \_\_\_\_
4. Date of Birth: *[Please furnish proof of age]* Citizenship: \_\_\_\_
5. Education *[Indicate college/university and other specialized education of expert, giving names of institutions, degrees obtained, and dates of obtainment]*: \_\_\_\_
6. Membership of Professional Associations: \_\_\_\_
7. Other Training *[Indicate significant training since degrees under 5 - Education were obtained]*: \_\_\_\_
8. Publication: *[List of details of major Accounting reports/papers published in recognized national and international journals]* \_\_\_\_
9. Languages *[For each language indicate proficiency: good, fair, or poor in speaking, reading, and writing]*: \_\_\_\_
10. Employment Record *[Starting with present position, list in reversed order, every employment held. List all positions held by staff member since graduation, giving dates, names of employing organization, title of positions held and location of assignments. For experience period of specific assignment must be clearly mentioned, also give Employer references, where appropriate.]*: \_\_\_\_

From [Year]: \_\_\_\_ To [Year]: \_\_\_\_

Employer: \_\_\_\_

Positions held: \_\_\_\_

|  |  |
|--|--|
| <p>10. Detailed Tasks Assigned<br/><i>[List all tasks to be performed under this assignment]</i></p> | <p>11. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned<i>[Among the assignments in which the expert has been involved, indicate the following information for those assignments that best illustrate the expert's capability to handle the tasks listed under point 11.]</i></p> <p>Name of assignment or project: ____</p> <p>Year: ____</p> <p>Location: ____</p> <p>Client: ____</p> <p>Main project features: ____</p> <p>Positions held: ____</p> <p>Activities performed: ____</p> |
|--|--|

12. Certification:  
I, the undersigned, certify to the best of my knowledge and belief that:  
(i) This CV correctly describes my qualifications and my experience.  
(ii) I am not employed by the Executing /Implementing Agency.



[(iii) I am/I am not in regular full-time employment with the Consultant/Sub-Consultant.]

- (iv) In the absence of medical incapacity, I will undertake this assignment for the duration and in terms of the inputs specified for me in the Personnel Schedule in Form TECH-6 provided team mobilization takes place within the validity of this proposal or any agreed extension thereof.
- (v) *I am willing to work on the project and I will be available for entire duration of the project assignment and I will not engage myself in any other assignment during the currency of this assignment on the project*
- (vi) I, the undersigned, certify that to the best of my knowledge and belief, this bio-data correctly describes me my qualification and my experience am committed to undertake the assignment within the validity of Proposal.
- (vi) I did not write the terms of reference for this consulting services assignment.

I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

Date: [Day/Month/Year]

[Signature of expert or authorized representative of the firm]<sup>1</sup> Full name of authorized representative: \_\_\_\_


<sup>1</sup> This CV can be signed by a senior representative of the Consultant provided that if the Consultant's proposal is ranked first, a copy of the CV signed by the expert and/or specialist must be submitted to the Client prior to the commencement of contract negotiations.


FORMTECH-6: PERSONNEL SCHEDULE<sup>1</sup>

| N°            | Name of Expert /Position | Professional Expert input (in the form of a bar chart) <sup>2</sup> |   |   |   |   |   |   |   |   |    |    |    |   |      | Total person-weeks |       |  |
|---------------|--------------------------|---|---|---|---|---|---|---|---|---|----|----|----|---|------|--------------------|-------|--|
|               |                          | 1   | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | n | Home | Field <sup>3</sup> | Total |  |
| Expert        |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| 1             |                          | [Home]  |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          | [Field]   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| 2             |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| 3             |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| n             |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| Subtotal      |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| Support staff |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| 1             |                          | [Home]  |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          | [Field]   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| 2             |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| n             |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
|               |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| Subtotal      |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |
| Total         |                          |   |   |   |   |   |   |   |   |   |    |    |    |   |      |                    |       |  |

1 Months are counted from the start of the assignment. For each expert indicate separately the input for home and field work.

2 Field work means work carried out at a place other than the expert's home office; i.e. normal place of business.

 Full time input

 Part time input

22-6-15

## FORMTECH-7: WORK SCHEDULE

[illegible]

Indicate all main activities of the assignment, including delivery of reports/ deliverables as per Instruction to Bidders Work (e.g.: inception, Monthly, Quarterly interim, and final reports), and other benchmarks such as Client approvals assignments indicate activities, delivery of reports, and benchmarks separately for each phase.  
Duration of activities shall be indicated in the form of a bar chart.

## SECTION 4: FINANCIAL PROPOSAL

## **Section 4: Financial Proposal**

### **Standard Forms**

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided under Para. 3.6 Of Section 2. Forms FIN-1, FIN-2, are to be used whatever is the selection method indicated in Para. 4 of the Letter of Invitation.

*22-6-24*

**FORMFIN-1: FINANCIAL PROPOSAL SUBMISSION FORM**

[Location, Date]

To:

Mission Director  
Bihar MahadalitVikas Mission  
2nd Floor, SachivalayVistarikaranBhawan, Block 3, Patna  
Website-www.mahadalitvikasmission.org/  
Phone: **9931248027**  
E Mail- mail@bmvm.org

Dear Sir /Madam:

We, the undersigned, offer to provide the consulting services for [Insert title of assignment] in accordance with your Proposal dated [Insert Date] and our Accounting Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures<sup>1</sup>]. This amount is exclusive of the local taxes, which shall be identified during negotiations and shall be added to the above amount.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Clause Reference 1.14 of the Data Sheet.

No fees, gratuities, rebates, gifts, commissions or other payments have been given or received in connection with this Proposal.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature [In full and initials]:

Name and Title of Signatory:

Name of Firm:

Address:





**FORM FIN-2A: SUMMARY BY COSTS**

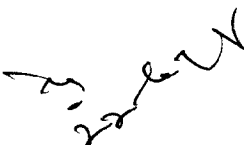
**Project Title:** Appointment of Financial Management & Accounting Support Consultant in Mahadalit Vikas Mission, GOB, Bihar

| SL No | Description of Services   | Consultancy Fee in<br>(as per ITC clause 5.4) |            |
|-------|---|---|------------|
|       |   | (In figures)                                  | (In words) |
| [A]   | Consultancy fee for providing Consultancy as Financial Management & Accounting Support Consultant in Mahadalit Vikas Mission), Bihar as per Terms of Reference (ToR) complete to the satisfaction of the Client.<br><br><b>[As detailed in Form 2B]</b> |   |            |
|       | <b>Subtotal [A]</b>   |   |            |
| [B]   | Add Goods & Service Tax as per prevailing rates   |   |            |
| [C]   | Total Consultancy fee including GST [A]+[B]   |   |            |

**Note:**

- (i) During Evaluation of Financial proposals, the quoted Consultancy excluding GST shall be considered.
- (ii) The client shall pay the Consultant, the GST on prevailing rates as applicable on the consultancy charges.

Signature of Authorised Authority of the Firm  
Date



**FIN 2B: PERSONNEL INPUTS AND PROFESSIONAL FEE RATES****Project Appointment of Financial Management & Accounting Support Consultant  
(in Rupees)**

| Sl.No | Name                          | Position | Employment Status    | Monthly Salary | Other Statutory Allowance (CPF, ESI Gratuity Etc.) | Other Allowances (If any) | Total Cost (INR) (4+5+6) |
|-------|-------------------------------|----------|----------------------|----------------|--|---------------------------|--------------------------|
|       | 1                             | 2        | 3                    | 4              | 5  | 6                         | 7                        |
| 1     |                               |          |                      |                |  |                           |                          |
| 2     |                               |          |                      |                |  |                           |                          |
| 3     |                               |          |                      |                |  |                           |                          |
| 4     |                               |          |                      |                |  |                           |                          |
| 5     |                               |          |                      |                |  |                           |                          |
|       |                               |          |                      |                |  |                           |                          |
|       |                               |          |                      |                |  |                           |                          |
| 6     | TOTAL for one Month.          |          | Figurers in word (   |                |  |                           |                          |
| 7     | TOTAL for the contract period |          | Figurers in word ( ) |                |  |                           |                          |

Rate quoted in total cost , colum 7 , shall be considered final and will be treated as lowest cost given by the firm .

Signature of Authorised Authority of the Firm  
Date

## SECTION 5: TERMS OF REFERENCE

22-02-24

## **Section 5: Terms of Reference (ToR)**

### **Financial Management & Accounting Support Consultant in Bihar Mahadalit Vikas Mission, Bihar**

#### **Introduction**

For the execution of different schemes for the development of Mahadalit, State Govt. has established a Society called "Bihar Mahadalit Development Mission" under the Societies Registration Act, 1860. A senior IAS officer has been appointed as the CEO of the Mission. The Mission is working in all the 39 Districts and 538 Blocks of the State.

#### **Vision & objectives:**

The VISION of Bihar Mahadalit Vikas Mission is "To fulfil the basic necessities of all Mahadalit communities by socially, economically & culturally empowerment and ensure full participation of them in the mainstream of development". Bihar Mahadalit Vikas Mission has planned a number of schemes on this guideline targeting Mahadalit section of the society.

#### **Objective:**

The Mission has design and developed many innovative schemes / programmes to empower the specified Mahadalit Castes keeping in view:

- To ensure shelter to the landless Mahadalit families.
- To improve quality of life of Mahadalit community and redressed existing inequalities in access to service through provision of sustainable pure drinking water & sanitation system.
- To facilitate and strengthen the education system in line with Govt. current policies and legislative frame work among Mahadalit families
- To enrol and ensure retention of students from Mahadalit families appointment of a Local Resource Person (Vikas Mitra) who will be in direct consultation with the Mahadalit Families and will also ensure the implementation of other schemes.
- Economical empowerment of Mahadalit community through strengthening traditional skills & vocational training program specially designed to suite their cultural tradition and according to the interest of the youths from Mahadalit families.
- Empowerment of Mahadalit women.
- To improve and strengthen access to quality and responsive health services for better well being of Mahadalit families.
- To preserve and promote traditional culture of Mahadalit community as well as mainstreaming them.
- To ensure right to information.
- To support and strengthen transportation & communication facilities.
- To facilitate the smooth implementation of govt. schemes at field level

## **Project Scope & Components**

Mahadalit Vikas Mission is implementing various schemes for the welfare of Mahadalit in the State of Bihar .The List of Schemes presently being implemented is as below-

1. Land for housing scheme
2. Mahadalit Awas Yojna
3. Mahadalit Water-supply Scheme
4. Mahadalit Toilet Construction Scheme
5. Mahadalit Basti Link Road Scheme
6. Mahadalit Anganwadi
7. Mahadalit Creche
8. Special School / Hostel for Mahadalits
9. MukhyamantriMahadalitPoshakYojna
10. DashrathManjhikaushalVikasYojna
11. MukhyamantriNariJyothiProgramme
12. DhanvantariMobile AyurvedicChikitsa
13. Mobile Public Distribution System
14. Eradication of Scavenging System
15. Construction of Community Hall cum Work-shade
16. Establishment of District & Block Resource Centre for Training and Research
17. Vikas Mitra
18. Community Radio

## **Implementation Arrangements**

For the execution of different schemes for the development of Mahadalit, State Govt. has established a Society called "Bihar Mahadalit Development Mission" under the Societies Registration Act, 1860. The mission is headed by CEO along with Mission Director .The Implementation of the different Schemes is being done through District and Block Units.

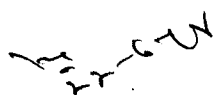
## **Structure of Bihar Mahadalit Vikas Mission**

Bihar Mahadalit Vikas Mission (BMVM) is operating on three levels:

- 1<sup>st</sup> Level: State Mission Office -**
- 2<sup>nd</sup> Level: District Mission Office**
- 3<sup>rd</sup> Level: Block Mission Office**

## **Flow of Funds**

State Govt. Provides funds to the State Mission Office for implementation of different schemes. State Office Transfers funds to District Office which in turn transfer the funds to the Blocks.



### **Brief Description of Responsibility / Task of CA Firm:**

The tasks will include the day to day maintenance of Accounts, Bank Reconciliation, Reconciliation with Districts Account , Bank Reconciliation of Districts Accounts , Preparation of all types of ledgers, Preparation of yearly Financial statement, Preparation of yearly Budget , Assist in Audit and day to day consultancy on Statutory compliances and other services as required by MAHADALIT VIKAS MISSION. The State level Office work can be described as below-

1. The CA firm who will be selected for maintaining Accounts and provide financial management Support at Head Office Level will also have the responsibility to monitor the Accounts and provide Support on the financial management and Accounting at the District level.
2. Prime responsibility of selected CA firm will be to examine all payment files and to ensure that payments are approved in accordance to the work order / sanction letter/ allotment letter and budget.
3. Selected CA firm will have the liberty to request MD BMVM to review payment order if payment is not fulfilling the required criteria and payment is not fulfilling the provision of Bihar Finance Rule.
4. Selected CA firm will examine the payment orders released by BMVM and only after certification of CA firm payment shall be released.
5. Once the payment is processed by CA firm and released to party, any objection / compliance raised on the payment will be the responsibility of CA firm.
6. Preparation of Yearly Financial Statements of the Head Office and consolidation of the financial statements with the District Offices.
7. Preparation and Consolidation of the Utilisation Certificate and submission of Claims.
8. Assist in Fund Flow Management.
9. Ensure the Compliance of all the statutory requirements to be met by the Mission which will include matters related to Income Tax, PF Department, and Commercial Tax department, Treasury or any other Department.
10. Assisting in Audit of the Company and Complying the Audit Observations
11. Preparation of Utilization reports in accordance to the demand and accounting slandered.
12. Preparation of Receipt & Payment , Income & Expenditure Account , Balance Sheet and all other statutory accounts as and when required by BMVM .
13. Consolidation of Districts Accounts with State Level Accounts.

## **Situation Assessment**

The Accounts Department is headed by Chief of Accounts who is assisted by Accounts Officer. The Accounts is Audited by Accountant General, Bihar. MAHADALIT VIKAS MISSION has appointed Internal Auditor for Internal Audit for the financial year till 2016-17.

Accounts Department need to strengthen so that timely MIS should be submitted before the management for the decision making in the matter of arrear Lease Rent, Instalments and Financial Management / Utilization of Government Funds in accordance to sanction letter and informing the lapses / irregularities observed in nay manner.

To Strengthen the Financial management and Accounts Department it is decided to hire the services of Chartered Accountant Firm to support MAHADALIT VIKAS MISSION to strengthen the Financial Management and Accounts Department and will ensure proper accounting.

## **Objectives of Assignment**

To Strengthen the Financial Management and Accounting System of the Mahadalit Vikas Mission

## **Scope of Work:**

1. The program expects that the consultant for this assignment should do following job:-
2. The task includes day to day Computerised maintenance of Accounts, Maintenance of stock register, Preparation of Bank Reconciliation Statements, Preparation of all types of ledgers, preparation of Financial statements, Assist in Audit and day to day consultancy on Statutory compliances and other services as required by MAHADALIT VIKAS MISSION.
3. Examination of all proposed payments, Verification of Fund Utilization report received from Districts Office
4. Any work related with the brief responsibility detailed in the RFP.

Day to day Consultancy as Financial Management and Accounting Support Consultant

- Would assist in maintaining the accounts and preparing the year-end financial statements for the 2017-18, 2018-19, 2019-20 & 2020-21 and Consolidation at Head office Level.

- The assignment would include regular assistance i.e. facilitating the accounting process and Updation, Supervision and Monitoring of the Accounts in the following areas for all accounting years until March 2021:

- i. Preparation of day to day accounting on Tally Software.
- ii. Monitoring and Supervision of Accounts at the District level Offices
- iii. Maintaining all accounting ledgers registers and formats as required for the sound accounting system.
- iv. Preparation and Updation of the Debtors & Creditors Ledger
- v. Maintain/ update year-wise, head wise fund utilisation register for each allotment
- vi. Filing of TDS Returns and ensure deposit of Tax collected at source.
- vii. Maintaining the entire Salary accounting, including pension, staff welfare schemes, and other staff benefits;
- viii. Maintaining general provident fund ledgers of employees;
- ix. Maintaining work ledgers including treatment of income tax, sales tax, royalties, and others; and
- x. Preparation of Bank Reconciliation and Inter Unit Reconciliation Statements;
- xi. Provide handholding support and assistance to sustain the accounting system and financial management.
- xii. maintenance of various types of accounting records and capacity building for filing and retrieval of various categories of accounting records;
- xiii. Assist in putting in place a mechanism so that the system can be maintained even after the completion of this assignment;
- xiv. Preparation of the Utilisation Certificate and Statement of Expenditure and submission of claims.
- xv. Assist in Resolving audit paras (if any) and make necessary adjustments in books of accounts;



## Outputs and Deliverables:

| Report   | Due Date  | Contents  |
|--|---|---|
| Inception Report   | Within 4 weeks of commencement of the assignment                              | As-Is situation of Accounting Status of the BMVM since the Incorporation of the Society |
| Preparation of Computerised Accounts on Tally and Finalisation of Accounts of the Financial Year 2017-18 | Within 6 months of commencement of the assignment                             | As per the Accounting and MAHADALIT VIKAS MISSION norms.                                |
| Preparation of Computerised Accounts on Tally and Finalisation of Accounts of the Financial Year 2018-19 | Within 6 Months from the end of the 2018-19 Financial year                    | As per the Accounting and MAHADALIT VIKAS MISSION norms.                                |
| Preparation of Computerised Accounts on Tally and Finalisation of Accounts of the Financial Year 2019-20 | Within 6Months from the end of the 2019-20 Financial year.                    | As per the Accounting and MAHADALIT VIKAS MISSION norms.                                |
| Preparation of Computerised Accounts on Tally and Finalisation of Accounts of the Financial Year 2020-21 | Within 6 Months from the end of the 2020-21 Financial year.                   | As per the Accounting and MAHADALIT VIKAS MISSION norms.                                |
| Safe Keeping of all records and back up of soft copies as continuous process.                            | Safe Keeping of all records and back up of soft copies as continuous process. | Safe Keeping of all records and back up of soft copies as continuous process.           |

**Note: All reports must be submitted either in English or in Hindi . Reports must be submitted in both print and electronic versions.**

## 1. Expertise and inputs for the Assignment

| Experts Title  | Qualifications, Skills and Experience   | Number of Experts   | Person months Up to   |
|--|---|---|---|
| <b>[A] Positions Common for Each Division</b>  |   |   |   |
| Team Leader  | Chartered Accountant /Cost Accountant with at least 15 years of experience; <b>Must have worked in at least 4 assignments</b> in Government Accounting .with <b>govt. undertakings</b> Fluent in Hindi and English ; Proficiency in use of Tally or other Software<br><b>[For Team Leadership, the expert must possess leadership qualities and must have been a team leader in similar projects]</b> | <b>One</b>  | <b>10 man days every month</b>  |
| Co- Team Leader Accounting Specialist  | Chartered Accountant with at least 2 years of experience Fluent in Hindi and English <b>Must have worked in at least 2 assignment</b> in Government Accounting <b>govt. undertaking</b> ; Proficiency in use of Tally   | <b>1 (One) which can be increased depending upon the requirement)</b>   | <b>Full time presence at BMVM Office in all working days. In case of absence, replacement will be arranged by the firm.</b> |
| Accountant (Bio data not required at the evaluation level)   | Semi Qualified CA (PE-II)/ICWAI /CS Inter with 1 years working experience (including article ship) in accounts / Post Graduate in Commerce /Economics /MBA with minimum two years and B Com with 3 Years of working experience. Good in Hindi and English; Similar work experience in at Government Projects is proffered; Knowledge of computerized accounting and use &applications of Tally a must | <b>3 (THREE) which may be increased depending upon the requirement.</b> | <b>Full time presence at BMVM Office in all working days. In case of absence, replacement will be arranged by the firm.</b> |
| Number of Manpower may be Increased if needed. In such cases the remuneration quoted by the Consultant for Individual Staff will be the Rate of fixing the remuneration of additional Staff. |   |   |   |

## 2. Items to be provided by the Client and Consultant

- Client will be responsible to provide to the Consultant:
  - i. office space;
  - ii. TA /DA as per the Society norms for the visits to the District Mission Office.
  - iii. The computers, data storage devices data processing facilities (including the accounting software), printers and printing papers for printing of books of all original entries, ledgers and financial statements etc;
  - iv. Access to all books, registers, and records during office hours and permission to take notes and photocopies under the signature of the Accounts Officer or Secretary;
  - v. Filing storage equipment;
  - vi. Internet Access.
  - vii. Desktop Computer with Internet facilities

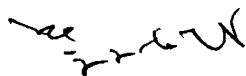
- Consultant/Staff will be responsible to:

Arrange for all stay, lodging, boarding, and food arrangements for the staff deputed by them at districts office.

## 3. Payment Schedule

Payment will be based on the monthly basis on satisfactory performance and submission of following Monthly Report / documents.

1. Bio-Metric Attendance/Manual Attendance wherever will be applicable for FMT team members duly certified by General Administrative Section of BMVM.
2. Applicable deduction shall be made for the absence period of FMT Members.
3. On submission of Computerised Cash Book of the month.
4. On submission of monthly Bank reconciliation of all banks maintained at State Mission Office
5. On submission of monthly PL Account reconciliation statement.
6. On submission of any compliance report which has been given in previous month with any specific direction.
7. On submission of monthly district wise reconciliation statement.
8. On submission of Monthly Fund Utilisation report for all the funds utilised by BMVM during previous month.
9. Copy of TDS challan deposited for previous month.
10. Copy of CPF/ESI (if Applicable) statement of previous month for the employees deputed by FMT at BMVM Office.



**Quarterly report:**

11. At the end of each Quarter FMT while claiming monthly payment will also submit following reports.
12. Quarterly Receipt & Payment, Income & Expenditure and Balance Sheet of State Mission Office.
13. Quarterly returns of GST and other applicable statutory returns applicable for BMVM.

## **SECTION 6: STANDARD CONTRACT**

# Agreement & General Conditions of Contract

## CONTENTS

| Sl. No. | Description   | Page No. |
|---------|---|----------|
| I.      | CONTRACT FOR CONSULTANT'S SERVICES  |          |
| II.     | GENERAL CONDITIONS OF CONTRACT  |          |
| 1.      | <b>General Provisions</b>   |          |
| 1.1     | Definitions   |          |
| 1.2     | Relation between the Parties  |          |
| 1.3     | Law Governing the Contract  |          |
| 1.4     | Language  |          |
| 1.5     | Headings  |          |
| 1.6     | Notices   |          |
| 1.7     | Location  |          |
| 1.8     | Authority of Member in Charge   |          |
| 1.9     | Authorized Representatives  |          |
| 1.10    | Taxes and Duties  |          |
| 2.      | <b>Commencement, Completion, Modification and Termination of Contract</b> |          |
| 2.1     | Effectiveness of Contract   |          |
| 2.2     | Termination of Contract for Failure to Become Effective                   |          |
| 2.3     | Commencement of Services  |          |
| 2.4     | Expiration of Contract  |          |
| 2.5     | Entire Agreement  |          |
| 2.6     | Modification  |          |
| 2.7     | Force Majeure   |          |
| 2.7.1   | Definition  |          |
| 2.7.2   | No Breach of Contract   |          |
| 2.7.3   | Measures to be Taken  |          |
| 2.7.4   | Extension of Time   |          |
| 2.7.5   | Payments  |          |
| 2.7.6   | Consultation  |          |
| 2.8     | Suspension  |          |
| 2.9     | Termination   |          |
| 2.9.1   | By the Employer   |          |
| 2.9.2   | By the Consultants  |          |
| 2.9.3   | Cessation of Rights and Obligations                                       |          |
| 2.9.4   | Cessation of Services   |          |
| 2.9.5   | Payment upon Termination  |          |
| 2.9.6   | Disputes about Events of Termination                                      |          |

### **3. Obligations of the Consultants**

#### **3.1 General**

- 3.1.1 Standard of Performance
- 3.1.2 Law Governing Services
- 3.2 Conflict of Interests
  - 3.2.1 Consultants not to Benefit from Commissions, discounts etc.
  - 3.2.2 Consultants and Affiliates not to be otherwise interested in Project
  - 3.2.3 Prohibition of Conflicting Activities

#### **3.3 Confidentiality**

#### **3.4 Liability of the Consultants**

#### **3.5 Insurance to be taken out by the Consultants**

#### **3.6 Accounting, Inspection and Auditing**

#### **3.7 Consultants' Actions requiring Employer's prior Approval**

#### **3.8 Reporting Obligations**

#### **3.9 Documents prepared by the Consultants to be the Property of the Employer Equipment and Materials furnished by the Employer**

### **4. Consultants' Personnel**

#### **4.1 General**

#### **4.2 Description of Personnel**

#### **4.3 Approval of Personnel**

#### **4.4 Working Hours, Overtime, Leave etc.**

#### **4.5 Removal and/or Replacement of Personnel**

#### **4.6 Resident Project Manager**

### **5. Obligations of the Employer**

#### **5.1 Assistance and Exemptions**

#### **5.2 Access to Land**

#### **5.3 Changes in the Applicable Law**

#### **5.4 Services, Facilities and Property of the Employer**

#### **5.5 Payment**

### **6. Payment to the Consultants**

#### **6.1 Cost Estimates; Ceiling Amount**

#### **6.2 Currency of Payment**

#### **6.3 Mode of Billing and Payment**

### **7. Responsibility for accuracy of the project document**

#### **7.1 General**

#### **7.2 Performance Guarantee**

#### **7.3 Penalty**

#### **7.4 Action for deficiency in services**

### **8. Fairness and Good Faith**

#### **8.1 Good Faith**

#### **8.2 Operation of the Contract**

## **9.SETTLEMENT OF DISPUTES**

9.1 Amicable Settlement

9.2 Dispute Settlement

## **III. SPECIAL CONDITIONS OF CONTRACT**

## **IV. APPENDICES**

Appendix A: Terms of reference containing, inter-alia, the Description of the Services and Reporting Requirements

Appendix B: Consultants' Sub-consultants, Key Personnel and Sub-Professional Personnel, Task assignment, work programme, manning schedule, qualification requirements of key personnel, schedule for submission of various reports.

Appendix C: Hours of work for Consultants' Personnel

Appendix D: Duties of the Employer

Appendix E: Cost Estimate

Appendix F: Minutes of Financial/ Contract Negotiations with the Consultant

Appendix G: Copy of letter of invitation

Appendix H: Copy of letter of acceptance

Appendix I: Format for Bank Guarantee for Performance Security

Appendix J: Minutes of the Pre-bid meeting



## Section 1: DRAFT FORM OF CONTRACT

**CONTRACT FOR:** [Please insert name of project]

**CONTRACT NUMBER:** [Please insert project number]

**THIS CONTRACT is made**

**BETWEEN :** [Bihar Mahadalit Vikas Mission](hereinafter referred to as "the Client")  
**AND :** [Please insert name of Consultant ] (hereinafter referred to as "the Consultant")  
[Please insert nodal officer and communication address of the consultant]

**WHEREAS:**

- A. the Employer has requested the Consultants to provide certain consulting services as defined in the General Conditions attached to this Contract (hereinafter called the "Services");and
- B. the Consultants, having represented to the Employer that they have the required professional skills, personnel and technical resources, have agreed to provide the Services on the terms and conditions set forth in this Contract.

NOW THEREFORE the parties hereto hereby agree as follows:

**1. Documents**

This Contract shall be comprised of the following documents:

|             |   |
|-------------|---|
| Section 1   | Form of Contract                        |
| Section 2   | General Conditions                      |
| Section 3   | Special Conditions                      |
| Section 4   | Terms of Reference                      |
| Section 5   | Schedule of Prices                      |
| Section 6   | Minutes of Contract Negotiation Meeting |
| Appendices: |   |

Appendix A: Terms of reference containing, inter-alia, the Description of the Services and Reporting Requirements

Appendix B: Consultants' Key Personnel and Sub Professional Personnel, Task assignment, work programme, manning schedule, qualification requirements of key personnel, schedule for submission of various report.

Appendix C: Minutes of Financial/ Contract Negotiations with the Consultant, If any. Appendix

D: Copy of letter of invitation

Appendix E: Copy of letter of acceptance

Appendix F: Copy of Bank Guarantee for Performance Security

Appendix-G: Minutes of the pre-bid meeting

This Contract constitutes the entire agreement between the Parties in respect of the Consultants obligations and supersedes all previous communications between the Parties, other than as expressly provided for in Section 3 and/or Section 4.

2. The mutual rights and obligations of the Employer and the Consultants shall be as set forth in the Contract; in particular

- (a) The Consultants shall carry out the Services in accordance with the provisions of the Contract; and

(b) Employer shall make payments to the Consultants in accordance with the provisions of the Contract.

**3. Commencement and Duration of the Services**

The Consultant shall start the Services on \_\_\_\_ *[please insert date]* ("the Start Date") and shall complete them by \_\_\_\_ *[please insert date]* ("the End Date") unless this Contract is terminated earlier in accordance with its terms and conditions.

**4. Financial Limit**

Payments under this Contract shall not, in any circumstances, exceed \_\_\_\_ *[please insert total amount in numbers and words]* exclusive of any government tax, if applicable ("the Financial Limit").

**5. Time of the Essence**

Time shall be of the essence as regards the performance by the Consultant of its obligations under this Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

|   |   |
|---|---|
| For and on behalf of Client<br><br>Signature:<br>Name:<br>Date: | For and on behalf of Consultant<br><br>Signature:<br>Name:<br>Date: |
| Witness on behalf of Client<br><br>1. ....<br><br>2. ....       | Witness on behalf of Consultant<br><br>1. ....<br><br>2. ....       |

## Section 2: GENERAL CONDITIONS OF CONTRACT

### DEFINITIONS AND INTERPRETATION

#### 1.1 Definitions

Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- (a) "Applicable Law" means the laws and any other instruments having the force of law.
- (b) "Contract" means the Contract signed by the Parties, to which these General Conditions of Contract are attached, together with all the documents listed in Clause 1 of such signed Contract;
- (c) "Effective Date" means the date on which this Contract comes into force and effect pursuant to Clause GC 2.1;
- (d) "GCC" means these General Conditions of Contract;
- (e) "Government" means the Government of Bihar;
- (f) "currency" means the Indian National Rupee;
- (g) "Member", in case the Consultants consist of a joint venture of more than one entity, means any of these entities, and "Members" means all of these entities;
- (i) "Personnel" means persons hired by the Consultants as approved by EMPLOYER as employees and assigned to the performance of the Services or any part thereof; "foreign Personnel" means such persons who at the time of being so hired had their domicile outside India; and "local Personnel" means such persons who at the time of being so hired had their domicile inside India;
- (j) "Party" means the Employer or the Consultants, as the case may be, and Parties means both of them;
- (k) "Services" means the work to be performed by the Consultants pursuant to this Contract for the purposes of the Project;
- (m) "Sub-consultant" means any entity to which the Consultants subcontract any part of the Services in accordance with the provisions of Clause GC 3.7; and
- (n) "Third Party" means any person or entity other than the Government, the Employer, the Consultants or a Sub-consultant.
- (o) "SC" means the Special Conditions of Contract by which these General Conditions of Contract may be amended or supplemented

#### 1.2 Relation between the Parties

Nothing contained herein shall be construed as establishing a relation of master and servant or of agent and principal as between the Employer and the Consultants. The Consultants, subject to this Contract, have complete charge of Personnel performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder

#### 1.3 Language

This Contract has been executed in English, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

**1.5 Heading**

The headings shall not limit, alter or affect the meaning of this Contract.

**1.6 Notices**

**1.6.1** Any notice, request or consent required or permitted to be given or made pursuant to this Contract shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, telex, telegram or facsimile to such Party at the address as specified in the SC.

**1.6.2** Notice will be deemed to be effective as specified in the SC.

A party may change its address for notice hereunder by giving the other Party notice of such change at the address mentioned as under

**Employer :**

**Consultant:**

Mission Director  
Bihar MahadalitVikas Mission  
2nd Floor, SachivalayVistarikaranBhawan, Block 3, Patna  
Website-[www.mahadalitvikasmission.org/](http://www.mahadalitvikasmission.org/)  
Phone: 9931248027  
E Mail- [mail@bmvm.org](mailto:mail@bmvm.org)

**1.7 Location**

The Services shall be performed at such locations as are specified in TOR

**1.8 Authority of Member in Charge**

In case the Consultants consist of a joint venture of more than one entity, the Members here by will authorize an entity to act on their behalf in exercising the entire Consultants' rights and obligations towards the Employer under this Contract, including without limitation the receiving of instructions and payments from the Employer.

**1.9 Authorized Representatives**

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Employer or the Consultants may be taken or executed by the authorized representative.

**1.10 Taxes and Duties**

The Consultants shall pay all such taxes, duties, fees and other impositions as may be levied under the Applicable Law

**2. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT**

**2.1 Effectiveness of Contract**

This Contract shall come into force and effect on the date of the Employer's notice to the Consultants instructing the Consultants to begin carrying out the Services.

**2.2 Termination of Contract for Failure to Become Effective**

If this Contract has not become effective within such time period after the date of the Contract signed by the Parties as shall be specified in the SC, either Party may, by not less than two (2) months written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either

Party, neither Party shall have any claim against the other Party with respect hereto.

### 2.3 Commencement of Services

The Consultants shall begin carrying out the Services at the end of such time period after the Effective Date.

### 2.4 Expiration of Contract

Unless terminated earlier pursuant to Clause GC 2.9 hereof, this Contract shall expire when services have been completed and all payments have been made at the end of such time period after the Effective Date.

### 2.5 Entire Agreement

This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein

### 2.6 Modification

Modification of the terms and conditions of this Contract, including any modification of the scope of the Services, may only be made by written agreement between the Parties. Pursuant to Clause GC 7.2 hereof, however, each party shall give due consideration to any proposals for modification made by the other Party.

### 2.7 Force Majeure

#### 2.7.1 Definition

- (a) For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, and which makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible in the circumstances, and includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action (except where such strikes, lockouts or other industrial action are within the power of the Party invoking Force Majeure to prevent), confiscation or any other action by government agencies.
- (b) Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Sub consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both (A) take into account at the time of the conclusion of this Contract and (B) avoid or overcome in the carrying out of its obligations hereunder.
- (c) Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.

#### 2.7.2 No Breach of Contract

The failure of a Party to fulfil any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

#### 2.7.3 Measures to be Taken

- (a) A party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfil its obligations hereunder with a minimum of delay.
- (b) A party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any event not later than fourteen (14) days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give notice of the restoration of normal conditions as soon as possible

- (c) The Parties shall take all reasonable measures to minimize the event of Force Majeure consequences of any

#### 2.7.4 Extension of Time

Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

#### 2.7.5 Payments related to force majeure

Both the parties will have to bear their own cost during the force majeure.

#### 2.7.6 Consultation

Not later than thirty (30) days after the Consultants, as the result of an event of Force Majeure, have become unable to perform a material portion of the Services, the Parties shall consult with each other with a view to agreeing on appropriate measures to be taken in the circumstances.

### 2.8 Suspension

The Employer may, by written notice of suspension to the Consultants, suspend all payments to the Consultants hereunder if the Consultants fail to perform any of their obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultants to remedy such failure within a period not exceeding thirty (30) days after receipt by the Consultants of such notice of suspension

#### 2.9.1 By the Employer

The Employer may, by not less than thirty (30) days' written notice of termination to the Consultants (except in the event listed in paragraph (f) below, for which there shall be a written notice of not less than sixty (60) days), such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (f) of this Clause 2.9.1, terminate this Contract:

- (a) if the Consultants fail to remedy a failure in the performance of their obligations hereunder, as specified in a notice of suspension pursuant to Clause 2.8 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Employer may have subsequently approved in writing;
- (b) if the Consultants become (or, if the Consultants consist of more than one entity, if any of their Members) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) if the Consultants fail to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause 8 hereof;
- (d) if the Consultants submit to the Employer a statement which has a material effect on the rights, obligations or interests of the Employer and which the Consultants know to be false;
- (f) if, as the result of Force Majeure, the Consultants are unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (g) if the Employer, in its sole discretion and for any reason whatsoever, decides to terminate this Contract.

#### 2.9.2 By the Consultants

The Consultants may, by not less than thirty (30) day's written notice to the Employer, such notice to be given after the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause 2.9.2, terminate this Contract:

- (a) if the Employer fails to pay any money due to the Consultants pursuant to this Contract and not subject to dispute pursuant to Clause 8 hereof within forty-five (45) days after receiving written notice from the Consultants that such payment is overdue;
- (b) if the Employer is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultants may have subsequently approved in writing) following the receipt by the Employer of the Consultants' notice specifying such breach;
- (c) f, as the result of Force Majeure, the Consultant are unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (d) if the Employer fails to comply with any final decision reached as a result of arbitration pursuant to Clause 8 hereof

#### **2.9.3 Cessation of Rights and Obligations**

Upon termination of this Contract pursuant to Clauses 2.2 or 2.9 hereof, or upon expiration of this Contract pursuant to Clause 2.4 hereof, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause 3.3 hereof, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause 3.6 (ii) hereof, and (iv) any right which a Party may have under the Applicable Law

#### **2.9.4 Cessation of Services**

Upon termination of this Contract by notice of either Party to the other pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Consultants shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultants and equipment and materials furnished by the Employer, the Consultants shall proceed as provided, respectively, by Clauses 3.9 or 3.10 hereof.

#### **2.9.5 Payment upon Termination**

Upon termination of this Contract pursuant to Clauses 2.9.1 or 2.9.2 hereof, the Employer shall make the following payments to the Consultants (after offsetting against these payments any amount that may be due from the Consultant to the Employer):

- i) remuneration pursuant to Clause 6 hereof for Services satisfactorily performed prior to the effective date of termination
- ii) reimbursable expenditures pursuant to Clause 6 hereof for expenditures actually incurred prior to the effective date of termination; and

#### **2.9.6 Disputes about Events of Termination**

If either Party disputes whether an event specified in paragraphs (a) through (e) of Clause 2.9.1 or in Clause 2.9.2 hereof has occurred, such Party may, within forty-five (45) days after receipt of notice of termination from the other Party, refer the matter to arbitration pursuant to Clause 8 hereof, and this Contract shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award.

### **3. OBLIGATIONS OF THE CONSULTANTS**

#### **3.1 General**

##### **3.1.1 Standard of Performance**

The Consultants shall perform the Services and carry out their obligations hereunder with all due diligence, efficiency and economy, in accordance with generally accepted professional techniques and practices, and shall observe sound management practices, and employ appropriate advanced technology and safe and effective equipment, machinery, materials and methods. The Consultants shall always act, in respect of any

matter relating to this Contract or to the Services, as faithful advisers to the Employer, and shall at all times support and safeguard the Employer's legitimate interests in any dealings with Sub-consultants or Third Parties.

### **3.1.2 Law Governing Services**

The Consultants shall perform the Services in accordance with the Applicable Law and shall take all practicable steps to ensure that any Sub-consultants, as well as the Personnel and agents of the Consultants and any Sub-consultants, comply with the Applicable Law. The Employer shall advise the Consultants in writing of relevant local customs and the Consultants shall, after such notifications, respect such customs.

## **3.2 Conflict of Interests**

### **3.2.1 Consultants not to Benefit from Commissions, Discounts, etc.**

The remuneration of the Consultants pursuant to Clause 6 hereof shall constitute the Consultants' sole remuneration in connection with this Contract or the Services and the Consultants shall not accept for their own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or to the Services or in the Discharge of their obligations hereunder, and the Consultants shall use their best efforts to ensure that any Sub-consultants, as well as the Personnel and agents of either of them, similarly shall not receive any such additional remuneration.

### **3.2.2 Consultants and Affiliates not to be otherwise interested in Project**

The Consultants agree that, during the term of this Contract and after its termination, the Consultants and any entity affiliated with the Consultants, as well as any Sub-consultant and any entity affiliated with such Sub-consultant, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

### **3.2.3 Prohibition of Conflicting Activities**

Neither the Consultants nor their Sub consultants nor the Personnel of either of them shall engage, either directly or indirectly, in any of the following activities:

- (a) during the term of this Contract, any business or professional activities which would conflict with the activities assigned to them under this Contract; or
- (b) after the termination of this Contract, such other activities as may be specified by Employer

## **3.3 Confidentiality**

The Consultants, their Sub-consultants and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract or the Employer's business or operations without the prior written consent of the Employer.

## **3.4 Liability of the Consultants**

The Consultants' liability under this Contract shall be as provided by the Applicable Law.

## **3.5 Insurance to be taken out by the Consultants**

The Consultants (i) shall take out and maintain, and shall cause any Sub consultants to take out and maintain, at their (or the Sub consultants', as the case may be) own cost but on terms and conditions approved by the Employer, insurance against the risks, and for the coverage's, as necessary and (ii) at the Employer's request, shall provide evidence to the Employer showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid.

## **3.6 Accounting, Inspection and Auditing**

The Consultants (i) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time charges and cost, and the bases thereof (including the bases of the Consultants' costs and charges), and (ii) shall permit the Employer or its designated representative



periodically, and up to one year from the expiration or termination of this Contract, to inspect the same and make copies thereof as well as to have them audited by auditors appointed by the Employer.

### **3.7 Consultants' Actions requiring Employer's prior Approval**

The Consultants shall obtain the Employer's prior approval in writing before taking any of the following actions:

- (a) appointing such members of the Personnel as are listed in **Appendix B**;
- (b) entering into a subcontract for the performance of any part of the Services, it being understood (i) that the selection of the Sub-consultant and the terms and conditions of the subcontract shall have been approved in writing by the Employer prior to the execution of the subcontract, and (ii) that the Consultants shall remain fully liable for the performance of the Services by the Sub-consultant and its Personnel pursuant to this Contract;

### **3.8 Reporting Obligations**

The Consultants shall submit to the Employer the reports and documents specified in **Appendix A/E** hereto, in the form, in the numbers and within the time periods set forth in the said **Appendix**.

### **3.9 Documents prepared by the Consultants to be the Property of the Employer**

All plans, drawings, specifications, designs, reports and other documents prepared by the Consultants in performing the Services shall become and remain the property of the Employer, and the Consultants shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Employer, together with a detailed inventory thereof. The Consultants may retain a copy of such documents.

### **3.10 Equipment and Materials furnished by the Employer**

Equipment and materials made available to the Consultants by the Employer, or purchased by the Consultants with funds provided by the Employer, shall be the property of the Employer and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultants shall make available to the Employer an inventory of such equipment and materials and shall dispose of such equipment and materials in accordance with the Employer's instructions. While in possession of such equipment and materials, the Consultants, unless otherwise instructed by the Employer in writing, shall insure them in an amount equal to their full replacement value.

## **4. CONSULTANTS' PERSONNEL**

### **4.1 General**

The Consultants shall employ and provide such qualified and experienced Personnel as are required to carry out the Services.

### **4.2 Description of Personnel**

- (a) The titles, agreed job descriptions, minimum qualification and estimated periods of minimum engagement of the key professionals is to be adhered.
- (b) If required to comply with the provisions of Clause 3.1.1 of this Contract, adjustments with respect to the estimated periods of engagement of Key personnel is to be increased. The consultant will have to take into account the requirement of personals and other relevant infrastructure required for timely completion of the project. Based on assessment of required professional, equipment and other infrastructure the consultant should quote the rates per kilometre
- (c) If additional work is required beyond the scope of the Services specified in TOR, the remuneration shall be fixed on mutually agreed terms.

#### **4.3 Approval of Personnel**

In respect of Key Personnel, which the Consultants propose to use in the carrying out of the Services, the Consultants shall submit to the Employer for review and approval a copy of their biographical data. If the Employer does not object in writing (stating the reasons for the objection) within twenty-one (21) calendar days from the date of receipt of such biographical data, such Key Personnel shall be deemed to have been approved by the Employer.

#### **4.4 Working Hours, Overtime, Leave, etc.**

Working hours and holidays shall be in accordance to labour laws/ rules applicable for BMVM.

#### **4.5 Removal and/or Replacement of Personnel**

- (a) Once approved by the Employer no changes shall be made in the Key Personnel. If, for any reason beyond the reasonable control of the Consultants, it becomes necessary to replace any of the key Personnel, the Consultants shall forthwith provide as a replacement a person of equivalent or better qualifications.
- (b) If the Employer (i) finds that any of the key Personnel has committed serious misconduct or has been charged with having committed a criminal action, or (ii) has reasonable cause to be dissatisfied with the performance of any of the key Personnel, then the Consultants shall, at the Employer's written request specifying the grounds therefore, forthwith provide as a replacement of the person with qualifications and experience acceptable to the Employer. Any such replacement shall be at risk & cost of the consultant.

### **5. OBLIGATION OF THE EMPLOYER**

#### **5.1 Assistance and Exemptions**

The Employer shall use its best efforts to ensure that the EMPLOYER shall:

- (a) assist the Consultants, Sub consultants and Personnel in arranging work permits and such other documents as shall be necessary to enable the Consultants, Sub consultants or Personnel to perform the Services;
- (b) assist for the Personnel and, if appropriate, their eligible dependents to be provided promptly with all supporting papers for necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in India;
- (c) facilitate clearance through customs of any property required for the Services;
- (d) issue to officials, agents and representatives of the agency all such instructions as may be necessary or appropriate for the prompt and effective implementation of the Services;

#### **5.2 Access to Land**

The Employer warrants that the Consultants shall have, free of charge, unimpeded access to all land in respect of which access is required for the performance of the Services. The Employer will be responsible for any damage to such land or any property thereon resulting from such access and will indemnify the Consultants and each of the Personnel in respect of liability for any such damage, unless such damage is caused by the default or negligence of the Consultants or any Sub consultants or the Personnel of either of them.

#### **5.3 Change in the Applicable Law**

The consultant shall bear all financial implication, if, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties.

#### **5.4 Services, Facilities and Property of the Employer**

The Employer shall make available to the Consultants and the Personnel, for the purposes of the Services

and free of any charge, the services and facilities as mentioned in clause 5.1 and 5.2.

#### 5.5 Payment

In consideration of the Services performed by the Consultants under this Contract, the Employer shall make to the Consultants such payments and in such manner as is provided by Clause 6 of this Contract.

#### 6. PAYMENT TO THE CONSULTANTS

- 6.1 The payment shall be made as per payment schedule and on satisfactory performance.
- 6.2 The payment shall be made through A/c payee cheque, payable at Patna, in Indian Rupees.
- 6.3 **First month remuneration shall not be released unless Performance Guarantee is submitted by FMT**
- 6.4 Mode of Billing and Payment

Billing and payments in respect of the Services shall be made as follows:-

- (c) The Employer shall cause to be paid to the Consultants an advance and as otherwise set forth below. The advance payment will be due after provision by the Consultants to the Employer of a bank guarantee of any nationalised bank acceptable of value of 10% of total contract value. Such bank guarantee (i) to remain effective until the advance payment has been fully set off and ii) in such form as the Employer shall have approved in writing.

- (b) Payment Schedule

The Consultant will be paid stage-wise as a percentage of the contract value as per the schedule given below

| SNo | Description                                 | Payment |
|-----|---|---------|
| 1   | On submission of Stage-I Report             | %       |
| 2   | To be inserted during contract finalization | %       |
| 3   | To be inserted during contract finalization | %       |
| 4   | To be inserted during contract finalization | %       |
| 5   | To be inserted during contract finalization | %       |
|     | Total                                       | 100%    |

- (c) No payment shall become eligible for the next stage till the consultant completes to the satisfaction of the Employer the work pertaining to the preceding stage.
- (d) The Employer shall cause the payment of the Consultants in Para 6.4 (b) above as given in schedule of payment within thirty (30) days after the receipt by the Employer of bills.
- (e) The final payment under this Clause shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Consultants and approved as satisfactory by the Employer. The Services shall be deemed completed and finally accepted by the Employer and the final report and final statement shall be deemed approved by the Employer as satisfactory ninety (90) calendar days after receipt of the final report and final statement by the Employer unless the Employer, within such ninety (90) day period, gives written notice to the Consultants specifying in detail deficiencies in the Services, the final report or final statement. The Consultants shall thereupon promptly make any necessary corrections, and upon completion of such corrections, the foregoing process shall be repeated. Any amount which the Employer has paid or caused to be paid in accordance with this Clause in excess of the amounts actually payable in accordance with the provisions of this Contract shall be reimbursed by the Consultants to the Employer within thirty (30) days after receipt by the Consultants of notice thereof. Any such claim by the Employer for reimbursement must be made within twelve (12) calendar months after receipt by the Employer of a final report and a

final statement approved by the Employer in accordance with the above.

## **7. Responsibility for Accuracy of Project Documents**

### **7.1 General**

7.1.1 The Consultant shall be responsible for accuracy of the data collected, by him directly or procured from other agencies/authorities. He shall indemnify the Authority against any inaccuracy in the work which might surface during implementation of the project. The Consultant will also be responsible for correcting, at his own cost and risk, if required.

### **7.2. Performance Guarantee**

An amount equivalent to 5% of the contract value shall be released after the completion of assignment.

### **7.3 ACTION FOR DEFICIENCY IN SERVICES**

#### **7.3.1 Consultants liability towards the Employer**

Consultant shall be liable to indemnify the Employer for any direct loss or damage accrued or likely to accrue due to deficiency in service rendered by him

#### **7.3.2 Warning / Debarring**

In addition to the penalty as mentioned in para 7.3.3, warning may be issued to the erring consultants for minor deficiencies. In the case of major deficiencies in the execution of the assignment involving time and cost overrun and adverse effect on reputation of EMPLOYER, other penal action including debarring for certain period may also be initiated as per policy of EMPLOYER.

#### **7.3.3 Penalty**

**If consultant made delay in submission of reports without proper/ genuine reason, any loss incurred by mission or on noncompliance of any instruction of Mission Director, related to scope of work as mentioned in TOR, minimum 25% of monthly payment may be imposed upon FMT in form of Fine and decision taken by MD, BMVM shall be final and binding upon FMT.**

## **8. FAIRNESS AND GOOD FAITH**

### **8.1 Good Faith**

The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

### **8.2 Operation of the Contract**

The Parties recognize that it is impractical in this Contract to provide for every contingency which may arise during the life of the Contract, and the Parties hereby agree that it is their intention that this Contract shall operate fairly as between them, and without detriment to the interest of either of them, and that, if during the term of this Contract either Party believes that this Contract is operating unfairly, the Parties will use their best efforts to agree on such action as may be necessary to remove the cause or causes of such unfairness, but no failure to agree on any action pursuant to this Clause shall give rise to a dispute subject to arbitration in accordance with Clause 9 hereof.

## **9. SETTLEMENT OF DISPUTES**

### **9.1 Amicable Settlement**

The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or the interpretation thereof.

### **9.2 Dispute Settlement**

Any dispute between the Parties as to matters arising pursuant to this Contract, which cannot be settled amicably within thirty (30) days after receipt by one Party of the other Party's request for such amicable settlement, may be submitted by either Party for settlement. If the dispute(s) is not resolved amicably

then it shall be referred to arbitration and shall be dealt as per the provisions of the arbitration & conciliation Act. If any amount stands recoverable from the FMT due to their negligence shall be recovered under Public Demand Recovery Act, 1914.

### Section 3: SPECIAL CONDITIONS OF CONTRACT

#### Number of GC Clause

#### A. Amendments of, and Supplements to, Clauses in the General Conditions

##### 1.6.1 The addresses are:

*For the Client:* **Mission Director**  
Bihar MahadalitVikas Mission  
2nd Floor, SachivalayVistarikaranBhawan, Block 3, Patna  
Website-www.mahadalitvikasmission.org/  
Phone: 9931248027  
E Mail-mail@bmvm.org

*For the Consultant:*

*Attention:*

##### 1.6.2 Notice will be deemed to be effective as follows:

- (a) in the case of personal delivery or registered mail or courier, on delivery;
- (b) In the case of telegrams, 24 hours following confirmed transmission; and
- (c) In the case of facsimiles, 24 hours following confirmed transmission.

##### 2.2 The time period shall be "One month" or such other time period as the parties may agree in writing.

FORM OF BANK GUARANTEE

Ref: \_\_\_\_\_ Bank Guarantee: \_\_\_\_\_

Date: \_\_\_\_\_

To,

**Mission Director**

**Bihar MahadalitVikasMission**

**2nd Floor, SachivalayVistarikaranBhawan, Block 3, Patna**

**Website-www.mahadalitvikasmission.org/**

**Phone: 9931248027**

**E Mail-mail@bmvm.org**

Dear Sir/Madam,

In consideration of "BiharMahadalitVikas Mission" (hereinafter referred as the "Employer", which expression shall, unless repugnant to the context or meaning thereof include its successors, administrators and assigns) having awarded to M/s. \_\_\_\_\_ (hereinafter referred to as the "Consultant" which expression shall unless repugnant to the context or meaning thereof, include its successors, administrators, executors and assigns), a contract by issue of Employer's Contract Agreement No. \_\_\_\_\_ dated \_\_\_\_\_ and the same having been unequivocally accepted by the Consultant, resulting in a Contract valued at \_\_\_\_\_ for (name of the project) \_\_\_\_\_ (hereinafter called the "Contract") and the Consultant having agreed to furnish a Bank Guarantee to the Employer against the release of performance guarantee as stipulated by the Employer in the said contract for accuracy of the services performed amounting to Rs. \_\_\_\_\_ (in words and figures).

We \_\_\_\_\_ (Name of the Bank) having its Head Office at \_\_\_\_\_ (hereinafter referred to as the Bank), which expression shall, unless repugnant to the context or meaning thereof, include its successors, administrators executors and assigns) do hereby guarantee and undertake to pay the Employer immediately on demand any or, all amount payable by the Consultant to the extent of \_\_\_\_\_ as aforesaid at any time up to \_\_\_\_\_ @ \_\_\_\_\_ without any demur, reservation, contest, recourse or protest and/or without any reference to the consultant. Any such demand made by the Employer on the Bank shall be conclusive and binding notwithstanding any difference between the Employer and the Consultant or any dispute pending before any Court, Tribunal, Arbitrator or any other authority. We agree that the Guarantee herein contained shall be irrevocable and shall continue to be enforceable till the Employer discharges this guarantee.

The Employer shall have the fullest liberty without affecting in any way the liability of the Bank under this Guarantee, from time to time to vary it or to extend the time for performance of the contract by the Consultant. The Employer shall have the fullest liberty without affecting this guarantee, to postpone from time to time the exercise of any powers vested in them or of any right which they might have against the Employer and to exercise the same at any time in any manner, and either to enforce or to forbear to enforce any covenants, contained or implied, in the Contract between the Employer and the Consultant any other course or remedy or security available to the Employer. The bank shall not be relieved of its obligations under these presents by any exercise by the Employer of its liberty with reference to the matters aforesaid or any of them or by reason of any other act or forbearance or other acts of omission or commission on the part of the Employer or any other indulgence shown by the Employer or by any other matter or thing whatsoever which under law would but for this provision have the effect of relieving the Bank.

The Bank also agrees that the Employer at its option shall be entitled to enforce this Guarantee against the Bank as a principal debtor, in the first instance without proceeding against the Consultant and notwithstanding any security or other guarantee that the Employer may have in relation to the

Consultant's liabilities.

Notwithstanding anything contained herein above our liability under this guarantee is limited to \_\_\_\_\_ and it shall remain in force upto and including \_\_\_\_\_ @ \_\_\_\_\_ and shall be extended from time to time for such period (not exceeding one year), as may be desired by M/s. \_\_\_\_\_ on whose behalf this guarantee has been given.

Dated this \_\_\_\_\_ day of \_\_\_\_\_ 2010 at \_\_\_\_\_

WITNESS

(signature)

(signature)

(Name) \_\_\_\_\_ (Name) \_\_\_\_\_

\_\_\_\_\_  
(Official Address) Designation (with Bank stamp) Attorney as per Power of

Attorney No. \_\_\_\_\_

Dated \_\_\_\_\_

Strike out whichever is not applicable.

@ The date will be twenty four months after the date of commencement of services. However its validity should be extendable if requested by Mahadalit Vikas Mission

Note 1: The stamp papers of appropriate value shall be purchased in the name of bank that issues the "Bank Guarantee".

Note 2: The Bank Guarantee will be accepted which is issued by State Bank of India or its subsidiaries or any Indian Nationalised Bank



#### Section 4: TERMS OF REFERENCE


*[As per RFP]*

**Section 5: SCHEDULE OF PRICES**

*[As per RFP]*

2262

**Section 6: MINUTES OF CONTRACT NEGOTIATIONS**



## APPENDICES

